

Reactions, mechanisms and simplexes



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L-Università
ta' Malta

Lectures in Malta

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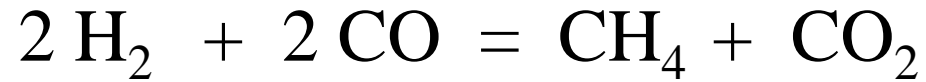
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I. Simplexes

(definitions)

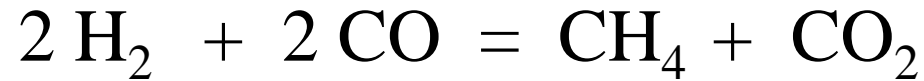
(1) Chemical reactions :



<=> Linear combination of vectors

$$\begin{array}{l} \text{H:} \quad |2| \quad \quad \quad |0| \quad \quad \quad |4| \quad \quad \quad |0| \quad \quad \quad |0| \\ \text{C:} \quad 2*|0| + 2*|1| - |1| - |1| = |0| \\ \text{O:} \quad |0| \quad \quad \quad |1| \quad \quad \quad |0| \quad \quad \quad |2| \quad \quad \quad |0| \end{array}$$

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No kinetics, chemics, graphs (at the end),

[other approaches:](#) / janostothmeister@gmail.com /

Tóth,J., Érdi,P.: *Mathematical Models of Chemical Reactions. Theory and Applications of Deterministic and Stochastic Models*, Manchester Univ. Press and Princeton Univ.Press, 1989.

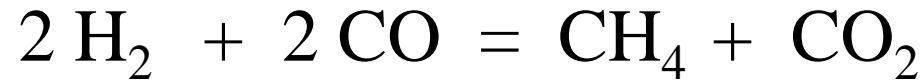
Tóth,J., Li,G., Rabitz,H., Tomlin,A.S.: *The Effect of Lumping and Expanding on Kinetic Differential Equations*, SIAM J. Appl. Math., 57 (1997), 1531-1556.

Tóth,J., Nagy,A.L., Zsély,I.: *Structural Analysis of Combustion Models*, arXiv preprint arXiv:1304.7964 (2013).

Tóth,J., Rospars,J.P.: *Dynamic Modeling of Biochemical Reactions with Applications to Signal Transduction: Principles and Tools using Mathematica*, Biosystems 79 (1-3), (2005) 33-52.

Tóth,J., Nagy,A., Papp,D.: *Reaction Kinetics: Exercises, Programs and Theorems: Mathematica for Deterministic and Stochastic Kinetics*, Springer, New York, NY, 2018.

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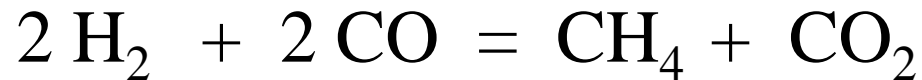


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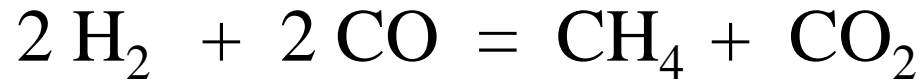
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(also for ions, e⁻, cathalysts, etc.)

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R^{C,O,H,e⁻,...}

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Idea: work in double dimension. Imagine for all species (X, Y, ...) two variants "*in*" and "*out*" and use the vectors:

$$\underline{u}' = [-1, -1, 0, \dots, 2, 0, 0, \dots]^T + \frac{\underline{v}'}{\underline{v}} + \frac{\underline{x}}{\underline{v}} \quad , \quad \underline{v}': [0, -1, 0, \dots, 1, 0, 0, \dots]^T \quad ,$$

and introduce the reactions "*in* \leftarrow *out*" as:

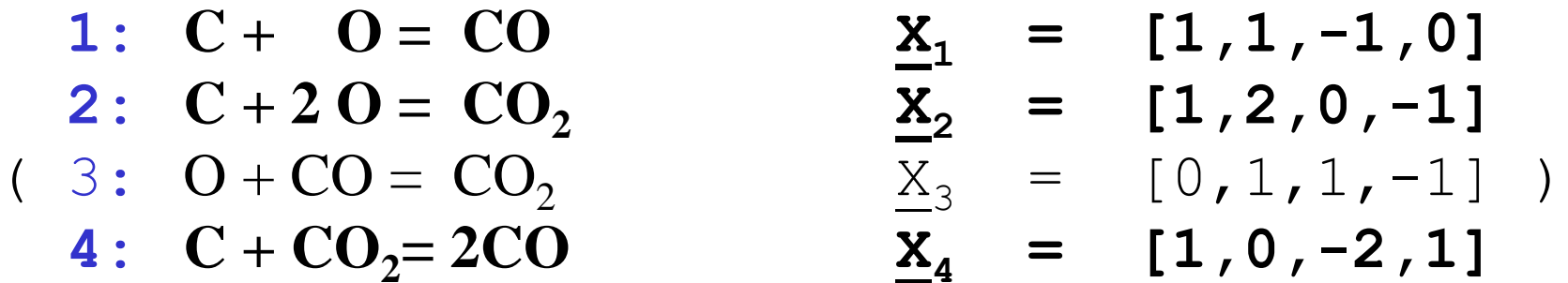
$$\underline{x} = [1, 0, 0, \dots, -1, 0, 0, \dots]^T \quad , \quad \underline{v} = [0, 1, 0, \dots, 0, -1, 0, \dots]^T \quad ,$$

then clearly $\underline{u} \equiv \underline{u}' + 2\underline{x}$ and $\underline{v} \equiv \underline{v}' + \underline{x}$,

and modify the original "*start*" and "*goal*" reactions corresponding this idea.

... there are several more minor observations and tricks ...

(2) Mechanisms :



~~~~~

$$2*\underline{\mathbf{x}}_1 - \underline{\mathbf{x}}_2 = \underline{\mathbf{x}}_4$$

Linear combination

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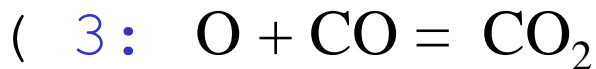
## (2) Mechanisms :



$$\underline{\mathbf{x}}_1 = [1, 1, -1, 0]$$



$$\underline{\mathbf{x}}_2 = [1, 2, 0, -1]$$



$$\underline{\mathbf{x}}_3 = [0, 1, 1, -1] )$$



$$\underline{\mathbf{x}}_4 = [1, 0, -2, 1]$$

~~~~~

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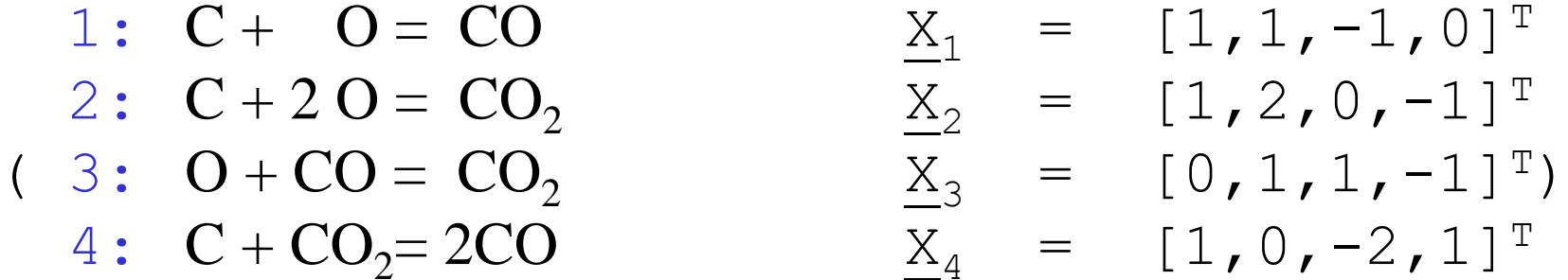
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R^{C,O,CO,CO₂}

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$$\underline{Y} = \alpha_1 \underline{X}_1 + \alpha_2 \underline{X}_2 + \dots + \alpha_n \underline{X}_n \quad (M)$$

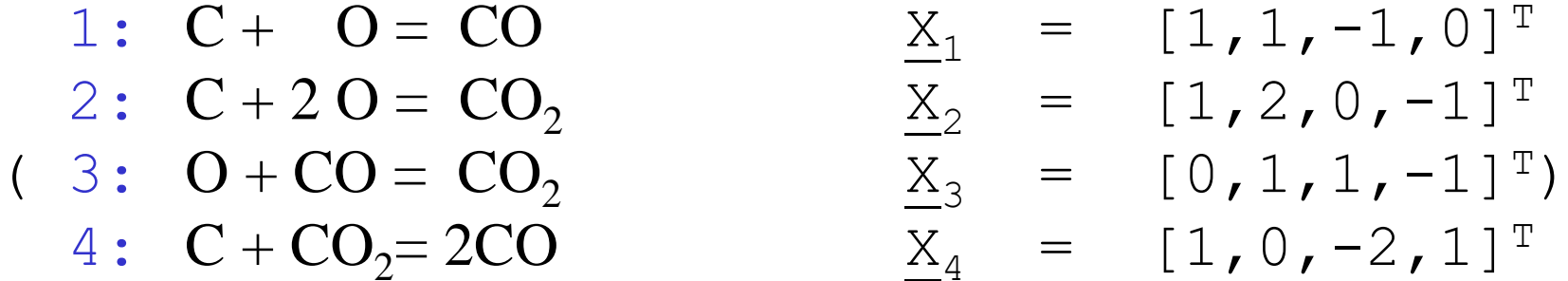
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$\underline{Y} := \mathbf{R} (M)$  = the final reaction, determined by the mechanism ( $M$ )

+ given start materials and final products ...



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(3) Physical quantities (measure units/"dimension analysis"):

tube diameter	$= d$	(ℓ)	$=$	$[1, 0, 0, 0, 0, 0]$
linear velocity	$= v$	(s/t)	$=$	$[0, 1, -1, 0, 0, 0]$
fluid density	$= \rho$	(m/ℓ^3)	$=$	$[-3, 0, 0, 1, 0, 0]$
viscosity	$= \nu$	$(m/\ell t)$	$=$	$[-1, 0, -1, 1, 0, 0]$
heat capacity	$= \kappa$	$(A/t^2 T)$	$=$	$[0, 0, -2, 0, 1, -1]$
heat transfer coeff.	$= \lambda$	$(m/t^3 T)$	$=$	$[0, 0, -3, 1, 0, -1]$
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\Leftrightarrow linear combination of the exponents

exponents
R

(4) In General :

Main Definition:

$S = \{ \underline{s}_1, \underline{s}_2, \dots, \underline{s}_k \} \subset \mathbb{R}^n$ is an (linear) algebraic simplex
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(minimal reactions, mechanisms, etc.)

II.

System of equations

(0) Homogeneous linear equations:

$$\underline{A} \cdot \underline{x} = \underline{0}$$

Find the **structure** of *minimal* solutions

Question: Assuming $\mathbf{A} \cdot \mathbf{X} = \mathbf{0}$, what information could be extracted from the linear dependency of the *rows* and *columns* of \mathbf{A} and of \mathbf{X} and of $\text{rank}(\mathbf{A})$?

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Perhaps they are important in chemistry.

NOT the Gauss elimination method.

ON A CLASS OF SOLUTIONS OF ALGEBRAIC HOMOGENEOUS LINEAR EQUATIONS

By

Á. PETHŐ (Budapest)

On solving algebraic homogeneous linear equations by Cramer's rule, solutions can automatically be obtained in which the number of zero elements is maximal in a sense [2]—[3]. In the present communication, these so-called „simple” solutions are defined more simply, in a combinatorial manner, and their properties are formulated more generally. The necessity of introducing simple solutions emerged originally in connection with a chemical problem [2].

$$\begin{bmatrix} a_{1,1} & a_{1,2} & a_{1,3} & \dots & a_{1,k} & \dots & a_{1,l} & \dots & a_{1,m-1} & a_{1,m} \\ a_{2,1} & a_{2,2} & a_{2,3} & \dots & a_{2,k} & \dots & a_{2,l} & \dots & a_{2,m-1} & a_{2,m} \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots \\ a_{n,1} & a_{n,2} & a_{n,3} & \dots & a_{n,k} & \dots & a_{n,l} & \dots & a_{n,m-1} & a_{n,m} \end{bmatrix} \cdot \begin{bmatrix} x_1 \\ x_2 \\ \dots \\ x_m \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \end{bmatrix}$$

$$\underline{x} = \begin{bmatrix} 0 & x_2 & 0 & \dots & x_k & \dots & 0 & \dots & x_{m-1} & 0 \end{bmatrix}$$

| | |

$$\{i \leq m : x_i \neq 0\} := \text{supp}(\underline{x})$$

x is *minimal* if for **no** **y** we have $\text{supp}(\underline{\mathbf{y}}) \subset \text{supp}(\underline{\mathbf{x}})$

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$$\text{supp}(\underline{\mathbf{x}}) = \{\underline{\mathbf{a}}_{i_1}, \underline{\mathbf{a}}_{i_2}, \dots, \underline{\mathbf{a}}_{i_k} : x_{i_j} \neq 0\}$$

Notation $M_{A,\underline{b}}$ and $M_{A,\underline{0}}$ denote the sets of solutions of
 $A \cdot \underline{x} = \underline{b}$ and $A \cdot \underline{x} = \underline{0}$ \square

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Condition

- o)* $M_{A,\underline{0}} \neq \{\underline{0}\}$ and $|M_{A,\underline{b}}| > 1$,
- i)* A has no parallel columns, especially
- ii)* A has no column $\underline{0}$,
- iii)* A has no column parallel to \underline{b} . \square

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Definition *(i)* For any $\underline{x} \in \mathbb{R}^m$

$$\mathbf{supp}(\underline{x}) := \{i \leq m : x_i \neq 0\}$$

the **support** of \underline{x} , especially $\mathbf{supp}(\underline{0}) = \emptyset$.

(ii) For $M \subseteq \mathbb{R}^m$ the vector $\underline{z} \in M$, $\underline{z} \neq \underline{0}$
has a minimal support with respect to M (\underline{z} is **minimal** to M)
if there is no $\underline{y} \in M$, $\underline{y} \neq \underline{0}$ such that $\mathbf{supp}(\underline{y}) \subsetneq \mathbf{supp}(\underline{z})$.

(iii) For any $M \subseteq \mathbb{R}^m$

$$\underline{M}^{\min} := \{\underline{z} \in M : \underline{z} \text{ is minimal to } M\} .$$

Proposition For any $\underline{z} \in M_{A, \underline{0}}^{\min}$, $A \cdot \underline{z} = \underline{0}$,
the relevant set of column vectors of A

$$S_{\underline{z}} := \{\underline{a}_i : i \in \text{supp}(\underline{z})\} \subset \mathbb{R}^n$$

is a simplex (minimal dependent set). □

Connection of minimal- and base solutions:

Inhomogeneous systems:

*A base solution \underline{x} corresponds to a base of A
but some components of \underline{x} may be 0 .*

\underline{x} is minimal iff it is nondegenerate.

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Homogeneous systems:

each base solution refers to a base of A and a further column of A , this is an $r + 1$ -element dependent vectorset , $r = \text{rank}(A)$.

Such set need not be a simplex .

On the other hand: minimal solutions \underline{x} correspond to simplexes, they are base solutions $\Leftrightarrow \text{supp}(x) = r + 1$. \square

homogeneous systems

Theorem $M_{A,\underline{0}}^{\min} \subseteq \mathbb{R}^m$ generates $M_{A,\underline{0}} \subseteq \mathbb{R}^m$ for any $A \in \mathbb{R}^{n \times m}$. \square

Corollary For any $\underline{x} \in M_{A,\underline{0}}$
$$\text{supp}(\underline{x}) \subseteq \bigcup \{ \text{supp}(\underline{z}) : \underline{z} \in M_{A,\underline{0}}^{\min} \} . \quad \square$$

Remark $M_{A,\underline{0}}^{\min}$ may contain dependent but not parallel elements.
To reveal a base of $M_{A,\underline{0}}^{\min}$ would be interesting. \square

Inhomogeneous systems

Theorem For any $\underline{z} \in M_{A,\underline{b}}^{\min}$, $H := \text{supp}(\underline{z})$

$$(A \mid_H) \cdot \underline{y} = \underline{b}$$

has the only solution $\underline{y} = \underline{z} \mid_H$. □

Problem Can *all* solutions of $A \cdot \underline{x} = \underline{b}$ be generated from the minimal solutions, i.e. from $M_{A,\underline{b}}^{\min}$?

Theorem *Each solution $\underline{x} \in M_{A,\underline{b}}$*

$$\underline{x} = \sum_{i=1}^I \alpha_i \underline{z}_i + \underline{y}$$

where $\underline{z}_i \in M_{A,\underline{b}}^{\min}$, $\sum_{i=1}^I \alpha_i = 1$, $\underline{y} \in M_{A,\underline{0}} \cup \{\underline{0}\}$,

*i.e. is an affine linear combination of the elements $M_{A,\underline{b}}^{\min}$
plus one solution of $M_{A,\underline{0}}$.* \square

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Corollary $M_{A,\underline{b}}^{\min} \cup M_{A,\underline{0}}^{\min}$ *generates* $M_{A,\underline{b}}$. \square

This is a generalization of the wellknown

$$M_{A,\underline{b}} = \underline{z} + M_{A,\underline{0}} .$$

III.

Algorithm

(0) **Homogeneous linear equations:**

$$\underline{A} \cdot \underline{x} = \underline{0}$$

Find all **minimal** solutions

Happel-Sellers-Otarod [HOS,1990] 's **algorithm** for reaction-mechanisms uses :

- mainly *elementary matrix row-column* operations
- *eliminating equations*.

after reductions:

- determine the bases of the solutions with *heuristic* methods.

Their method is mainly theoretical, non automatic.

No further details are published.

Reminder: $S = \{\underline{s}_1, \underline{s}_2, \dots, \underline{s}_k\} \subset \mathbb{R}^n$ is an algebraic **simplex** iff S is dependent and $S \setminus \{\underline{s}_i\}$ is independent for all $i \leq k$. \square

i.e. $\alpha_1 \cdot \underline{s}_1 + \alpha_2 \cdot \underline{s}_2 + \dots + \alpha_k \cdot \underline{s}_k = \underline{0}$ and none of them can be omitted.
(minimal reactions, mechanisms, etc.)

Our TASK 1:

Algorithm for generating all simplexes $S \subset H$ in a given $H \subset \mathbb{R}^n$.
(all reactions, mechanisms, etc.)

+ Applications

Reminder: $S = \{\underline{s}_1, \underline{s}_2, \dots, \underline{s}_k\} \subset \mathbb{R}^n$ is an algebraic **simplex** iff S is dependent and $S \setminus \{\underline{s}_i\}$ is independent for all $i \leq k$. \square

i.e. $\alpha_1 \cdot \underline{s}_1 + \alpha_2 \cdot \underline{s}_2 + \dots + \alpha_k \cdot \underline{s}_k = \underline{0}$ and none of them can be omitted.
(minimal reactions, mechanisms, etc.)

Our TASK 1:

Algorithm for generating all simplexes $S \subset H$ in a given $H \subset \mathbb{R}^n$.
(all reactions, mechanisms, etc.)

+ Applications

Result: polynomial algorithm

✓ [1991] Hung. J. Ind.Chem. 289-292.

✓ [2000] J. Math. Chem.1-34.

The algorithm

Each simplex in \mathbb{R}^n has size at most $n + 1$,

$|H| = m \quad \Rightarrow \quad H$ has at most

$$\sum_{i=1}^{n+1} \binom{m}{i} = \binom{m+1}{n+2} - 1 = \mathcal{O}(m^{n+2})$$

such subsets.

The algorithm

Each simplex in \mathbb{R}^n has size at most $n + 1$,

$|H| = m \Rightarrow H$ has at most

$$\sum_{i=1}^{n+1} \binom{m}{i} = \binom{m+1}{n+2} - 1 = \mathcal{O}(m^{n+2})$$

such subsets.

However we do not have to check these m^{n+2} subsets, since

Proposition *All subsets of independent sets are independent, too. \square*

PROCEDURE MODIFY

```
szimplex[ ] := {1} ;  
while not end do begin  
  if szimplex[ ] = {k, k + 1, ..., M, c} and c ≠ "d" then END;  
  if szimplex[ ] = {k, k + 1, ..., M, "d"}  
    then S := {k, k + 1, ..., M - 2, M, " "};  
  if szimplex[ ] = {T, t, M, c} then S := {T, t + 1, " "};  
  if szimplex[ ] = {T, t, "i"} then S := {T, t, t + 1, ""};  
  if szimplex[ ] = {T, t, "d"} then S := {T, t + 1, " "};  
  if szimplex[ ] = {T, t, "s"} then S := {T, t + 1, " "};  
end ;
```

Definition 13 (*PhD 2.4.D.*) (i) A hypergraph $\mathcal{H} = (V, \mathcal{E})$ is *descending* if $E, F \subseteq V$, $E \in \mathcal{E}$ and $F \subset E$ implies $F \in \mathcal{E}$,
(ii) \mathcal{H} is *not deformed* if $\{v\} \in \mathcal{E}$ for each $v \in V$,
(iii) assumed (i) and (ii), the elements of \mathcal{E} are called *independent*,
(iv) $S \subseteq V$ is a *simplex* if $S \notin \mathcal{E}$ but for each $T \subsetneq S$ we have $T \in \mathcal{E}$. \square

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Theorem 14 (*PhD 2.2.T.*) (i) The algorithm does not miss any simplex and does not check any subset twice.

(ii) The running time of the algorithm is the best possible for any dataset, that is it checks the necessary ones only. \square

Theorem 15 (*PhD 2.3.T.*) For any $H \subset \mathbb{R}^n$, $|H| = m$ the algorithm checks at most m^{n+1} subsets of H , so the time elapsed is $\mathcal{O}(m^{n+1})$, the algorithm is polynomial in time. \square

Computer examples are shown in the last Section of the dissertation: for some dozens of vectors in dimension 10 – 20 we have result in some seconds.

The time $\mathcal{O}(m^{n+1})$ can not be decreased in general, by Theorem 32 and Corollary 33.

J.Tóth, A.Nagy, D.Papp:

*Reaction Kinetics: Exercises, Programs and Theorems:
Mathematica for Deterministic and Stochastic Kinetics.*
Springer, New York, NY, 2018. ISBN:9781493986415,

IV.

Examples

E.g.

The species:

1st speci: H₂

2nd speci: O₂

3st speci: HO

4th speci: HO₂

5th speci: H₂O

6th speci: H₂O₂

⇒

1. + ½H₂ + ½O₂ - 1HO = 0
2. + ½H₂ + 1O₂ - 1HO₂ = 0
3. + 1H₂ + ½O₂ - 1H₂O = 0
4. + 1H₂ + 1O₂ - 1H₂O₂ = 0
5. - ½H₂ + 2HO₂ - 1HO₂ = 0
6. + ½H₂ + 1HO - 1H₂O = 0
7. + ¾H₂ + ½HO₂ - 2H₂O = 0
8. + ½H₂ + 1HO₂ - 1H₂O₂ = 0
9. - 1H₂ + 2H₂O - 1H₂O₂ = 0
10. + ½O₂ + 1HO - 1HO₂ = 0
11. + ½O₂ + 2HO - 1H₂O = 0
12. + ¾O₂ + 2HO₂ - 1H₂O = 0
13. - 1O₂ + 2HO₂ - 1H₂O₂ = 0
14. + ½O₂ + 1H₂O - 1H₂O = 0
15. + 3OH - 1HO₂ - 1H₂O = 0
16. + 2OH - 1H₂O₂ = 0
17. + ¾OH₂ + ¾H₂O - 1H₂O₂ = 0

"Amundson" ([A66], [P90])

$CO, CO_2, O_2, H_2, CH_2O, CH_3OH, C_2H_5OH, (CH_3)_2CO, CH_4,$
 $CH_3CHO, H_2O = 11$ vektor 3 -dim, 213 szimplex 0.22 mp.

$$-2CO + 2CO_2 - O_2 = 0 ,$$

$$3CO - CO_2 + 3H_2 - C_2H_5OH = 0 ,$$

$$5CO - 2CO_2 + 3H_2 - C_2H_6CO = 0 ,$$

$$2CO - CO_2 + 2H_2 - CH_4 = 0 ,$$

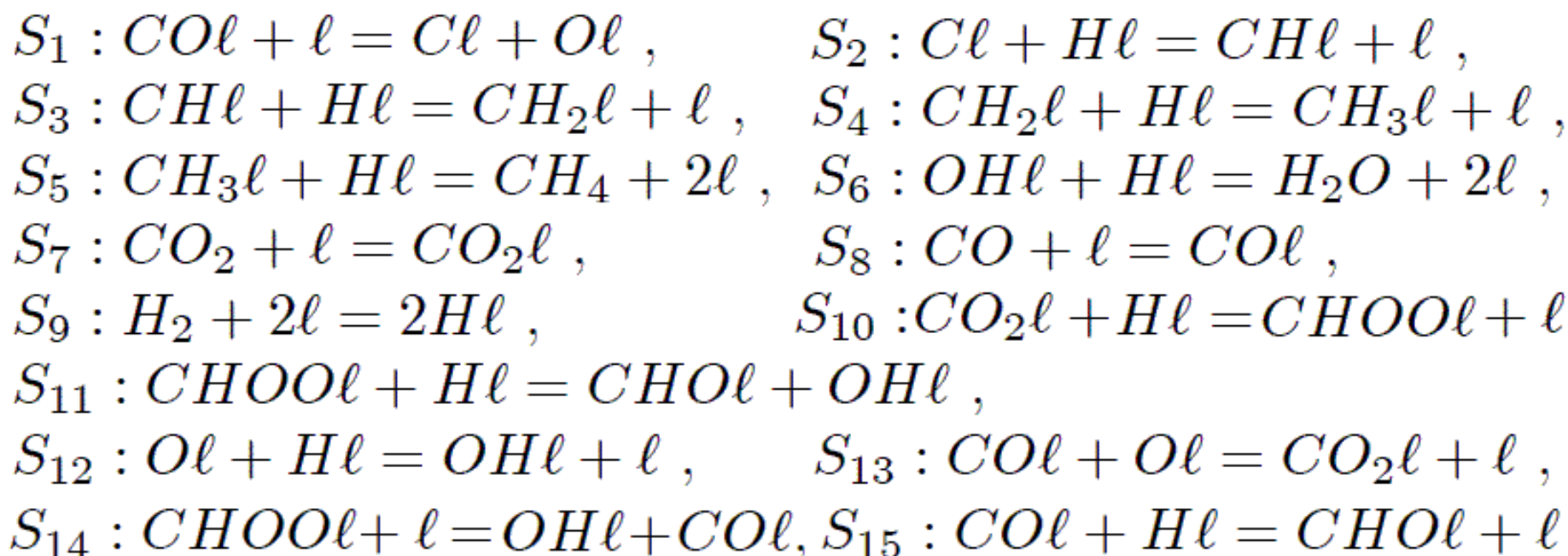
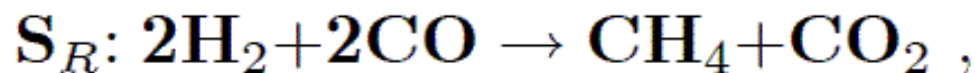
$$3CO - CO_2 + 2H_2 - CH_3CHO = 0 ,$$

$$-1CO + CO_2 + H_2 - H_2O = 0 , \quad \dots$$

N (vektortér dimenziója)	3
n (a H által kifeszített altér dimenziója)	3
M (input vektorok száma: $ H $)	11
$simp(H)$ (szimplexek tényleges száma)	213
$1 + \binom{M-2}{3} + \binom{M-3}{2}$ (alsó becslés)	$113 \leq$
$\binom{M}{n+1}$ (felső becslés)	≤ 330
t (futásidő [mp])	0.22 mp
H vizsgált részalmazainak száma	502

"Metán" ([B99], [HS83])

szintézise szénmonoxidból és vízből, S_R reakciót kell előállítani $S_1 - S_{15}$ -ből (ℓ a katalizátor):



Az összes minimális mechanizmus (output):

$$1) S_1 + S_2 + S_3 + S_4 + S_5 - S_7 + 2S_8 + 2S_9 - S_{10} - S_{11} + S_{12} + S_{15} = S_R$$

$$2) S_1 + S_2 + S_3 + S_4 + S_5 - S_7 + 2S_8 + 2S_9 - S_{10} + S_{12} - S_{14} = S_R$$

$$3) S_1 + S_2 + S_3 + S_4 + S_5 - S_7 + 2S_8 + 2S_9 + S_{13} = S_R$$

$$4) S_{10} + S_{11} - S_{12} + S_{13} - S_{15} = 0$$

$$5) S_{10} - S_{12} + S_{13} + S_{14} = 0$$

$$6) S_{11} - S_{14} - S_{15} = 0$$

(Az utolsó három csak ciklus.)

	Összesen	Csak S_R -t tartalmazók
N (vektortér dimenziója)	17	17
n (a H által kifeszített altér dimenziója)	13	13
M (input vektorok száma: $ H $)	16	16
$\text{simp}(H)$ (szimplexek száma)	6	3
$b \cdot \binom{a+1}{2} + (n-b) \cdot \binom{a}{2}$ (alsó becslés)	$4 \leq$	$1 \leq$
$\binom{M}{n+1}$ (felső becslés)	≤ 120	≤ 105
t (futásidő [mp])	78.60 s	43.28 s
H vizsgált részhalmazainak száma	63 429	31 697

"Metán"

7.3. Táblázat

V.

Number of
simplexes

Reminder: $S = \{\underline{s}_1, \underline{s}_2, \dots, \underline{s}_k\} \subset \mathbb{R}^n$ is an algebraic **simplex** iff S is dependent and $S \setminus \{\underline{s}_i\}$ is independent for all $i \leq k$. \square

i.e. $\alpha_1 \cdot \underline{s}_1 + \alpha_2 \cdot \underline{s}_2 + \dots + \alpha_k \cdot \underline{s}_k = \underline{0}$ and none of them can be omitted.
(minimal reactions, mechanisms, etc.)

Task 2:

Question: For given $H \subset \mathbb{R}^n$ how many simplexes $S \subset H$ could be in H if $|H|=m$ is given and H spans \mathbb{R}^n ?

(how many reactions, mechanisms, etc.)

Reminder: $S = \{\underline{s}_1, \underline{s}_2, \dots, \underline{s}_k\} \subset \mathbb{R}^n$ is an algebraic **simplex** iff S is dependent and $S \setminus \{\underline{s}_i\}$ is independent for all $i \leq k$. \square

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(how many reactions, mechanisms, etc.)

Notation:

$\text{simp}(H) :=$ the number of simplexes $S \subset H$. \square

Assuming: $|H|=m$, H spans \mathbb{R}^n

Theorem 1 [1995] (Laflamme-Szalkai)

$$\text{simp}(H) \leq \binom{m}{n+1} = O(m^{n+1})$$

and $\text{simp}(H)$ is maximal iff every n -element subset of H is independent. \square

Assuming: $|H|=m$, H spans \mathbb{R}^n

Theorem 1 [1995] (Laflamme-Szalkai)

$$\text{simp}(H) \leq \binom{m}{n+1} = O(m^{n+1})$$

and $\text{simp}(H)$ is maximal *iff* every n -element subset of H is independent. \square

Notes:

- Sperner's theorem is not enough: what is the structure of H ?
- Vandermonde determinant: $x_i = [1, \lambda_i, \dots, \lambda_i^{n-1}]^T$ ($i=1, \dots, m$)
- species are built from n particles and any n species are independent (and any $n+1$ are dependent) .

Proof. $|\mathcal{H}| = m$, $[\mathcal{H}] = \mathbb{R}^n$, $\mathcal{V} \subseteq \mathcal{H}$ is a base.

If $u \in \mathcal{H} \setminus \mathcal{V}$ and $u \in \mathcal{D} \subseteq \mathcal{H}$ dependent, $|\mathcal{D}| \leq n$ then

choose $u' \in \mathbb{R}^n$ s.t. $u' \notin [h_1, \dots, h_{n-1}]$ for any $\{h_1, \dots, h_{n-1}\} \subseteq \mathcal{H}$ and let

$$\mathcal{H}' := (\mathcal{H} \setminus \{u\}) \cup \{u'\}$$

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$$\mathcal{H}' := (\mathcal{H} \setminus \{u\}) \cup \{u'\}$$

Then for any simplex $\mathcal{S} = \{u_1, u_2, \dots, u_k\} \subseteq \mathcal{H}$ ($k \leq n + 1$):

- if $u \notin \mathcal{S}$ then \mathcal{S} is still a simplex of \mathcal{H}' ,
- if $u \in \mathcal{S}$, say $u = u_i$, then $\mathcal{S} \setminus \{u_i\}$ is independent,

so $\mathcal{S} \setminus \{u_i\} \cup \mathcal{V}'$ is independent, too, and spans \mathbb{R}^n for some $\mathcal{V}' \subseteq \mathcal{V}$.

Now

$$\mathcal{S}' := \mathcal{S} \setminus \{u_i\} \cup \mathcal{V}' \cup \{u'\}$$

is a new simplex of \mathcal{H}' .

The map $\mathcal{S} \rightarrow \mathcal{S}'$ is one-to-one, so $\text{simp}(\mathcal{H}') \geq \text{simp}(\mathcal{H})$.

No other configuration has so many simplexes:

$\mathcal{S} \subseteq \mathcal{H}$ be fixed, $|\mathcal{S}| = \ell$,

the above construction repeatedly $m - \ell$ many times \implies

no $u \in \mathcal{H}' \setminus \mathcal{S}$ belongs to any subspace generated by $n - 1$ elements of $\mathcal{H} \setminus \{u\}$.

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Now simplexes in \mathcal{H} : \mathcal{S} itself,

and only $n + 1$ element simplexes which contain at most $\ell - 1$ elements of \mathcal{S}

$$\text{simp}(\mathcal{H}) \leq 1 + \sum_{i=0}^{\ell-1} \binom{\ell}{i} \cdot \binom{m-\ell}{n+1-i} = 1 + \binom{m}{n+1} + \binom{m-\ell}{n+1-\ell} < \binom{m}{n+1}$$

whenever $n + 2 \leq m$. ($n + 1 \geq m$ easy). ■

$|H|=m$, H spans \mathbb{R}^n

Theorem 2 [1995] (Laflamme-Szalkai)

$$O(m^2) = n \cdot \binom{m/n}{2} \leq \text{simp}(H)$$

and $\text{simp}(H)$ is minimal *iff* m/n elements of H are *parallel* to \underline{b}_i where $\{\underline{b}_1, \dots, \underline{b}_n\}$ is any base of . \square

(parallel = isomers, multiple doses,...)

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Proof: similar packing vectors to parallel sets to a base to reduce $\text{simp}(H)$.

$|H|=m$, H spans \mathbb{R}^n

Theorem 2 [1995] (Laflamme-Szalkai)

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and $\text{simp}(H)$ is minimal *iff* m/n elements of H are *parallel* to \underline{b}_i where $\{\underline{b}_1, \dots, \underline{b}_n\}$ is any base of . \square

More precisely:

$$b \cdot \binom{a+1}{2} + (n-b) \cdot \binom{a}{2} \leq \text{simp}(\mathcal{H})$$

where

$$m = an + b \quad , \quad 0 \leq b < n \quad , \quad a \geq 1 \quad ,$$

$|H|=m$, H spans \mathbb{R}^n

Theorem 2 [1995] (Laflamme-Szalkai)

$$O(m^2) = n \cdot \binom{m/n}{2} \leq \text{simp}(H)$$

and $\text{simp}(H)$ is minimal *iff* m/n elements of H are *parallel* to \underline{b}_i where $\{\underline{b}_1, \dots, \underline{b}_n\}$ is any base of . \square

Open Question:

if no parallel elements are in H ?

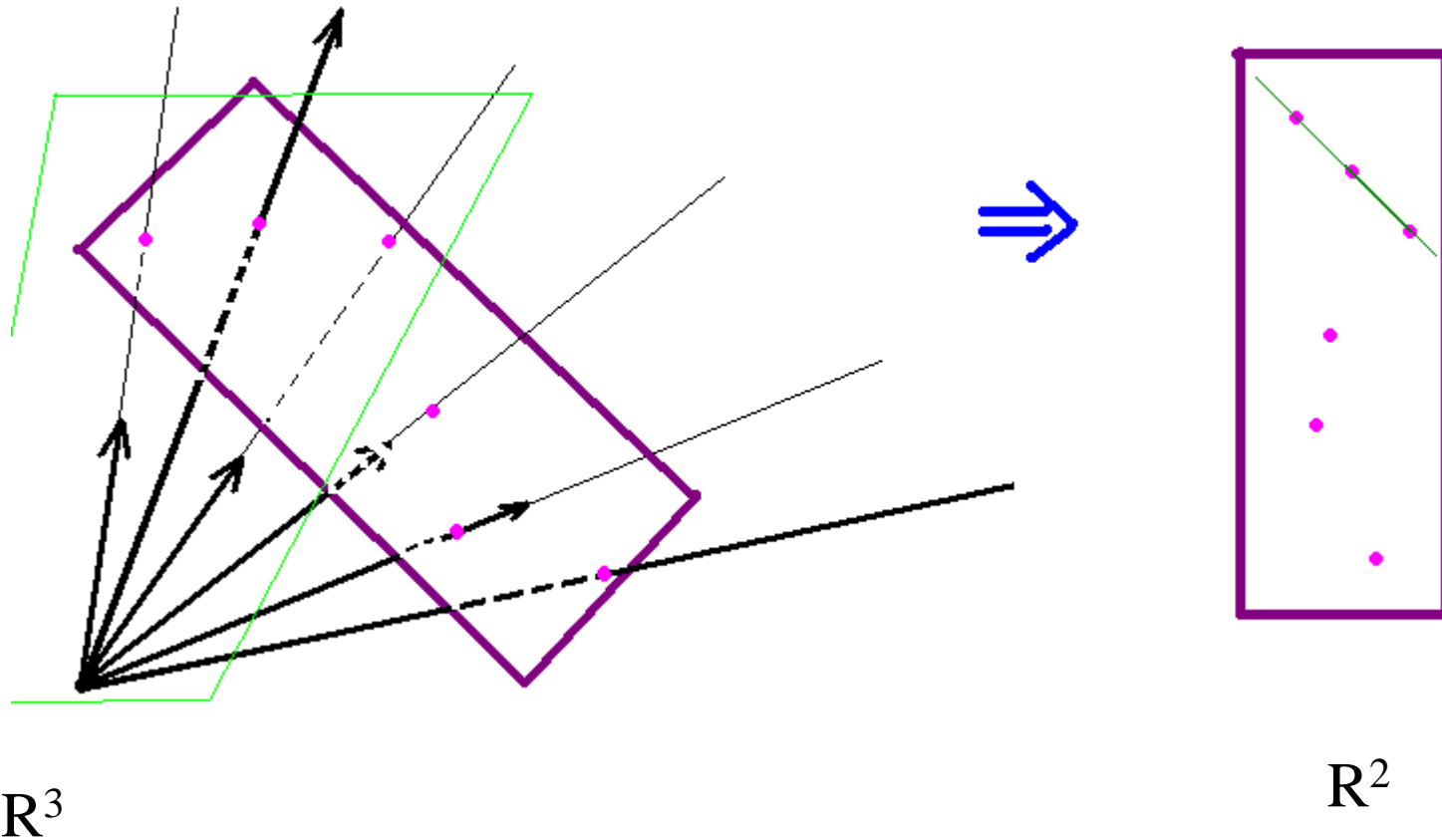
General Conjecture (1998) (Laflamme, Meng, Szalkai)
no parallel \Rightarrow the minimal configurations in \mathbf{R}^n are:

? **1)** *If n is even $\Rightarrow H$ contains n linearly independent vectors $\{\underline{u}_i : i = 1, \dots, n\}$ and the remaining of H is divided as evenly as possible between the planes $[\underline{u}_i, \underline{u}_{i+1}]$ for $i = 1, 3, \dots, n - 1$. \square*

? **2)** *If n is odd $\Rightarrow H$ again contains n linearly independent vectors $\{\underline{u}_i : i = 1, \dots, n\}$, one extra vector in the plane $[\underline{u}_{n-1}, \underline{u}_n]$ and finally the remaining vectors are divided as evenly as possible between the planes $[\underline{u}_i, \underline{u}_{i+1}]$ for $i = 1, 3, \dots, n - 2$ with lower indices having precedence. \square*

LATER !

Reducing the dimension ($n=3$):



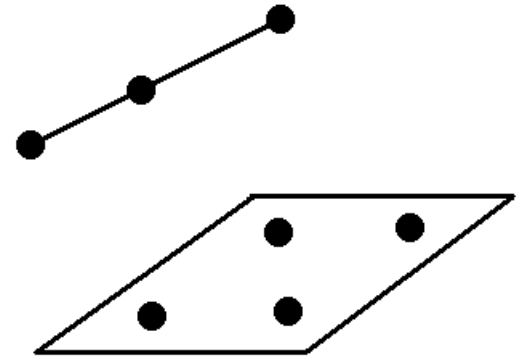
vectors \Rightarrow points, 2D-planes \Rightarrow lines

So, after the reduction we get:

Definition: (affine) simplexes in \mathbf{R}^2 are

- i) 3 colinear points,
- ii) 4 general points: no three colinear,

□



Elementary question in \mathbf{R}^2 :

What is the **minimal** number of (total) simplexes if the number of points (spanning \mathbf{R}^2) is m ?

$|H|=m$, H spans \mathbb{R}^n , no parallel elements

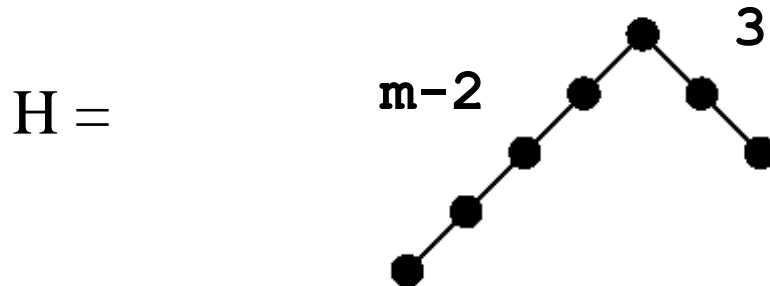
$n=3$

Theorem 3 [1998] (Laflamme-Szalkai)

For $H \subset \mathbb{R}^3$

$$\binom{m-2}{3} + 1 + \binom{m-3}{2} \leq \text{simp}(\mathcal{H})$$

and for $m \geq 8$: $\text{simp}(H)$ is minimal iff

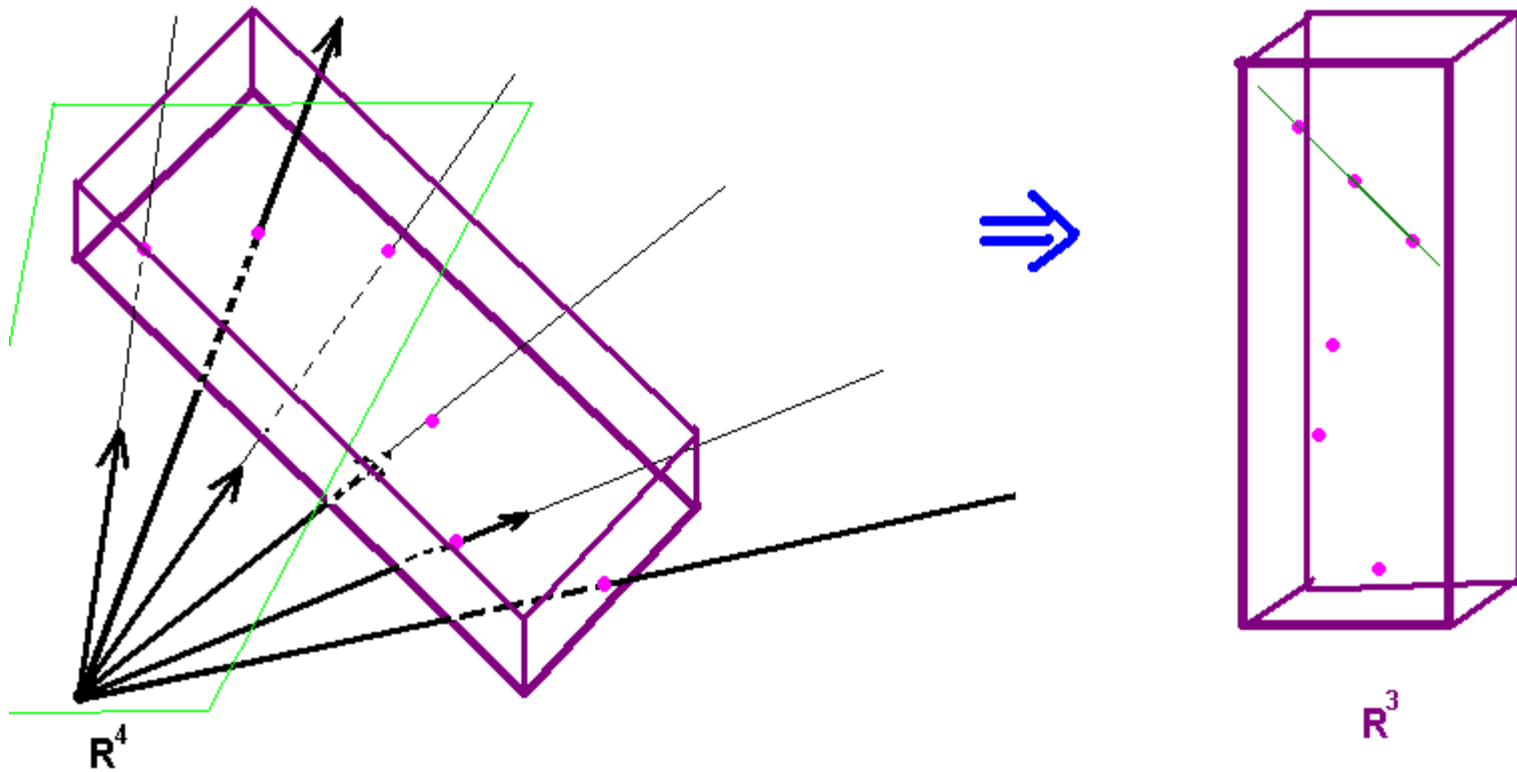


(vectors = points, planes = lines)

Theorem 3 [1998] (Laflamme-Szalkai)

Proof: packing points to lines to reduce $\text{simp}(H)$,
many subcases, 14 pp long.

Reducing the dimension ($n=4$):



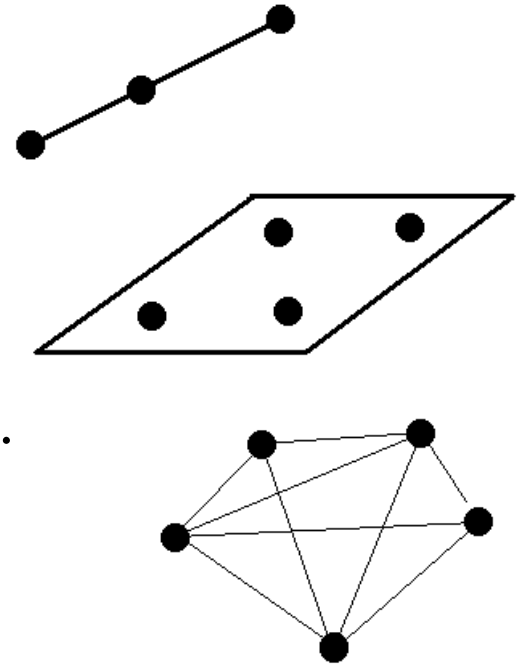
vectors \Rightarrow points, 2D-planes \Rightarrow lines, h.-planes \Rightarrow 2D-planes

So, after the reduction we get:

Definition: (affine) simplexes in \mathbf{R}^3 are

- i) 3 colinear points,
- ii) 4 coplanar, no three colinear,
- iii) 5 general points: no three or four as above.

□

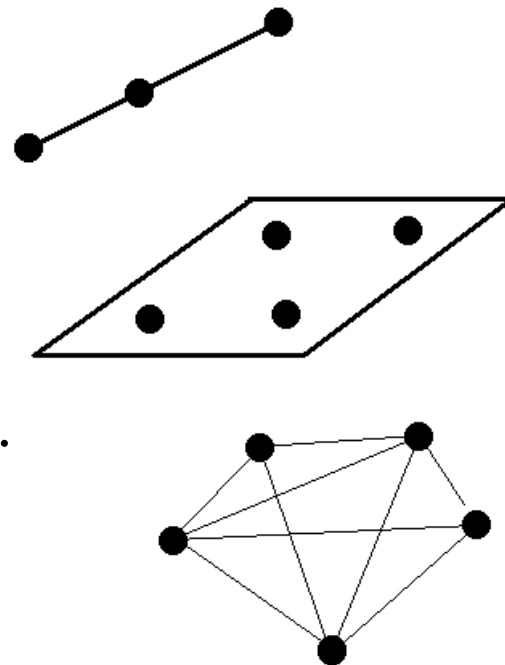


So, after the reduction we get:

Definition: (affine) simplexes in \mathbf{R}^3 are

- i) 3 colinear points,
- ii) 4 coplanar, no three colinear,
- iii) 5 general points: no three or four as above.

□



Still elementary question in \mathbf{R}^3 :

What is the **minimal** number of (total) simplexes if the number of points (spanning \mathbf{R}^3) is m ?

$|H|=m$, H spans \mathbb{R}^n , no parallel elements

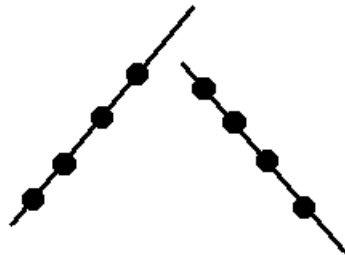
$n=4$

Theorem 4 [2010] (Balázs Szalkai - I.Szalkai)

For $H \subset \mathbb{R}^4$

$$\text{simp}(\mathcal{H}) \geq \binom{\lfloor m/2 \rfloor}{3} + \binom{\lceil m/2 \rceil}{3}$$

and for $m \geq 24$ $\text{simp}(H)$ is minimal iff H is placed into two (skew) detour line

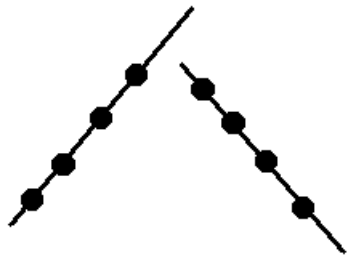


Theorem 4 [2010] (Laflamme-Szalkai)

Proof: packing points to planes to reduce $\text{simp}(H)$,
using the infinite sides of a tetrahedron
many subcases, 10 pp long.

General Conjecture (1998) (Laflamme, Meng, Szalkai)
no parallel \Rightarrow the only minimal configurations in \mathbb{R}^n are:

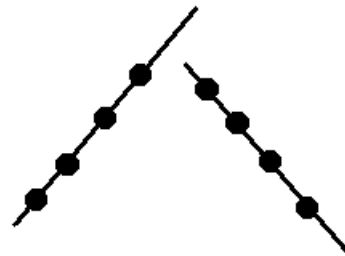
? 1) *If n is even $\Rightarrow H$ contains n linearly independent vectors $\{\underline{u}_i : i = 1, \dots, n\}$ and the remaining of H is divided as evenly as possible between the planes $[\underline{u}_i, \underline{u}_{i+1}]$ for $i = 1, 3, \dots, n - 1$. \square*



$[\underline{u}_1, \underline{u}_2]$

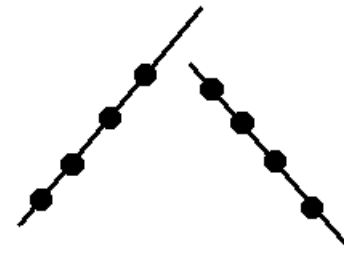
$[\underline{u}_3, \underline{u}_4]$

\dots



$[\underline{u}_i, \underline{u}_{i+1}]$

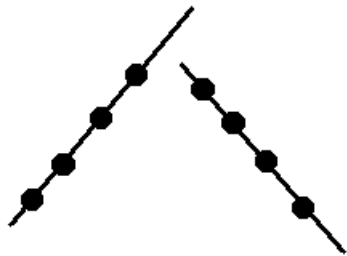
\dots



$[\underline{u}_{n-1}, \underline{u}_n]$

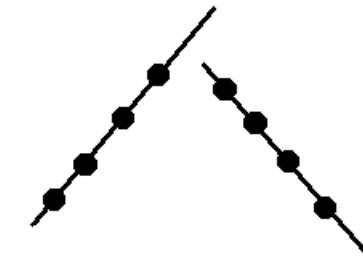
General Conjecture (1998) (Laflamme, Meng, Szalkai)
no parallel => the only minimal configurations in \mathbb{R}^n are:

? 2) *If n is odd => H contains n linearly independent vectors $\{\underline{u}_i : i = 1, \dots, n\}$, one extra vector in the plane $[\underline{u}_{n-1}, \underline{u}_n]$ and finally the remaining vectors are divided as evenly as possible between the planes $[\underline{u}_i, \underline{u}_{i+1}]$ for $i = 1, 3, \dots, n - 2$ with lower indices having precedence. \square*



$[\underline{u}_1, \underline{u}_2]$

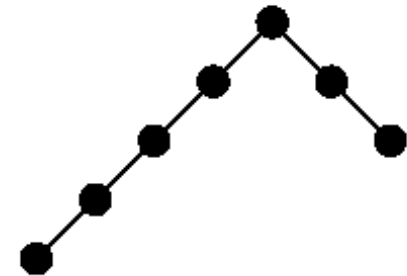
$[\underline{u}_3, \underline{u}_4]$



\dots

$[\underline{u}_i, \underline{u}_{i+1}]$

\dots



$[\underline{u}_{n-2}, \underline{u}_{n-1}], [\underline{u}_{n-1}, \underline{u}_n]$

VI.

Matroids

Matroids (hypergraphs) :

*What is the minimal and maximal number of cycles and bases in a matroid of size **m** and given rank **n** ?*

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√ [2006] (Laflamme, Dósa, Szalkai) :

Theorem 5 *If $m > n+1$ then only the uniform matroid $U_{m,n}$ contains the maximum number of circuits: $\binom{m}{n+1}$*

If $m = n+1$ then all matroids of size m and of rank n contain exactly 1 circuit. □

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Theorem 6 If $m > n$ then only the uniform matroid $U_{m,n}$ contains the maximum number of bases: $\binom{m}{n}$
□

Matroids (hypergraphs) :

What is the minimal and maximal number of cycles and bases in a matroid of size m and given rank n ?

√ [2006] (Laflamme, Dósa, Szalkai) :

Theorem 7 *For each m and n there is a unique matroid M_0 of size m and of rank n containing the minimum number of bases, namely **1** when we allow loops in the matroid. □*

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Theorem 8 *Any matroid M of size m and of rank n contains the minimum number **$m-n$** circuits if and only if the circuits of the matroid are pairwise disjoint. □*

THM: For each m and n each matroid M contains the minimum number of bases iff it has a base $\{a_1, a_2, \dots, a_n\}$ such that all other elements in M are **parallel** to a_1 .

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Conjecture [Oxley, 1997] For matroids with $k \leq \text{girth}(M)$ the uniform matroid $U_{m-3,k}$ has minimal number of circuits, namely

$$1 + 3 \cdot \binom{m-3}{k-1} + 3 \cdot \binom{m-3}{k-2} + \binom{m-3}{k-3}$$

THM. [2015] (Alahmadi,Aldred,Cruz,Ok,Solé,Thomassen) :
Any loopless matroid M of size μ and rank v without parallel elements has at least μ cocircuits .

VII.
Codes,
Families, ...

DEF: For $n, k \in \mathbb{N}$ and $C \in \mathbf{C}[n, k]$ *linear code* (length n dimension k)

$M(C) :=$ number of minimal codewords in C

and $M(n, k) := \underline{\max} \{ M(C) \mid C \in \mathbf{C}[n, k] \} .$

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THM. [2015] (Alahmadi, Aldred, Cruz, Ok, Solé, Thomassen) :
C has distances ≥ 2 , circles of matroids \Rightarrow

$$b \binom{a+1}{2} + (n-k-b) \binom{a}{2} \leq M(n, k) \quad / n = a \cdot (n-k) + b /$$

Corollary [2015] (Alahmadi,Aldred,Cruz,Ok,Solé,Thomassen,
Kashyap) :

*For any $[n,k]$ **code** C of dual distance at least 3 : $M(C) \geq n$*

G is a connected **graph** (allowing multiple edges but no loops),
 p vertices, q edges.

QUESTION [1981] (Entringer and Slater):

How many cycles $\#C_G$ a graph with p vertices and q edges can have?

Trivial: $\#C_G < 2^{q-p+1}$

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Cycle code $C(G)$ has *length* $n=q$, *dimension* $k = q-p+1$.

Note: The minimal codewords of $C(G)$ are exactly the incidence vectors of cycles, that is, circuits in the cycle matroid in G .

THM. [2013] (Aldred, Alahmadi, Cruz, Solé, Thomassen) :

If $q > 2p + O(\log(p))$ then $\#C_G < 2^{q-p}$.

THM. [2015] (Alahmadi, Aldred, Cruz, Ok, Solé, Thomassen) :
matroids \Rightarrow In any 2-edge-connected **graph** with p vertices and
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THM. [2015] (Alahmadi,Aldred,Cruz,Ok,Solé,Thomassen) :

Any 2-connected graph with q edges and p vertices contains at least

$$\binom{q-p+2}{2} \leq \#C_G$$

DEF: Let $m, d \in \mathbb{N}$, $\mathcal{X}_m := \{1, \dots, m\}$ and

$\mathcal{P}(\mathcal{X}_m) := \{p : \mathcal{X}_m \rightarrow \mathbb{R} \mid p \text{ is a probability measure on } \mathcal{X}_m\}$.

Then, for any fixed $q \in \mathcal{P}(\mathcal{X}_m)$ and $A = [\underline{a}_1, \dots, \underline{a}_m] \in \mathbb{R}^{d \times m}$ let

$$\mathcal{E}_{q,A} := \left\{ s \in \mathcal{P}(\mathcal{X}_m) \mid s(i) = \frac{q(i) \cdot \exp(\underline{\theta}^T \underline{a}_i)}{\sum_{j=1}^m q(j) \cdot \exp(\underline{\theta}^T \underline{a}_j)} \text{ for } i \leq m, \underline{\theta} \in \mathbb{R}^d \right\}$$

an "exponential family". \square

THM: [Rauh,Kahle,Ay,2009] Any $p \in \mathcal{P}(\mathcal{X}_m)$ is in the closure of $\mathcal{E}_{q,A}$ iff

$$p^{u^+} \cdot q^{u^-} = p^{u^-} \cdot q^{u^+} \text{ for all } u \in \text{Ker}(A)$$

where $p^v := \prod_{\substack{i=1 \\ 0 < r(i)}}^m p(i)^{v(i)}$ and u^+, u^- are the + and - components of $u \in \mathbb{R}^m$.

NOTE: Using the estimates on the number of circuits of matroids, the number of equations above is at most $\binom{m}{r+2}$ where $r = \dim(\mathcal{E}_{q,A})$.

VIII.

Hypergraphs

Definition For any hypergraph $\mathcal{H} = (V, \mathcal{E})$, $V \neq \emptyset$, $k \in \mathbb{N}$ 1'st version

(i) $\mathcal{E}_k := \{E \in \mathcal{E} : |E| = k\}$,

(ii) any k -element subset of V is k -vertex,

(iii) $S \subset V$ is in **general position** if

$$S \not\subseteq E \quad \text{for all } E \in \mathcal{E} \text{ ,}$$

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(v) 4-vertices are **quads**, 4-pyramids are **tetrahedrons**,

(vi) $S \subset V$ is a 4-element **simplex** if it is a quad but not a tetrahedron:

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\mathcal{S}_4 is the set of the 4-element simplexes,

(vii) $T \subset V$ is a 5-element **simplex** if it is a 5-vertex

but no its subset is a 4-element simplex:

$$F \not\subseteq T \quad \text{for all } F \in \mathcal{S}_4 , \quad \text{i.e.} \quad |T \cap E| \leq 3 \quad \text{for } E \in \mathcal{E} ,$$

\mathcal{S}_5 is the set of the 5-element simplexes.

□

Condition

i) $\mathcal{E}_\ell = \emptyset$ for $\ell \leq 3$,

ii) for any $E_1, E_2 \in \mathcal{E}$, $E_1 \neq E_2$ $|E_1 \cap E_2| \leq 2$. \square

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Problem If $|V| = m$, what is the minimal value of

$$s(m) := |\mathcal{S}_4| + |\mathcal{S}_5| \quad ? \quad \square$$

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Theorem 65 Under Condition and $m \geq 58$
we have a constant $C_1 \leq 17$

$$\binom{m}{4} - \frac{1}{6}C_1m^3 - \mathcal{O}(m^2) \leq s(m) \quad \square$$

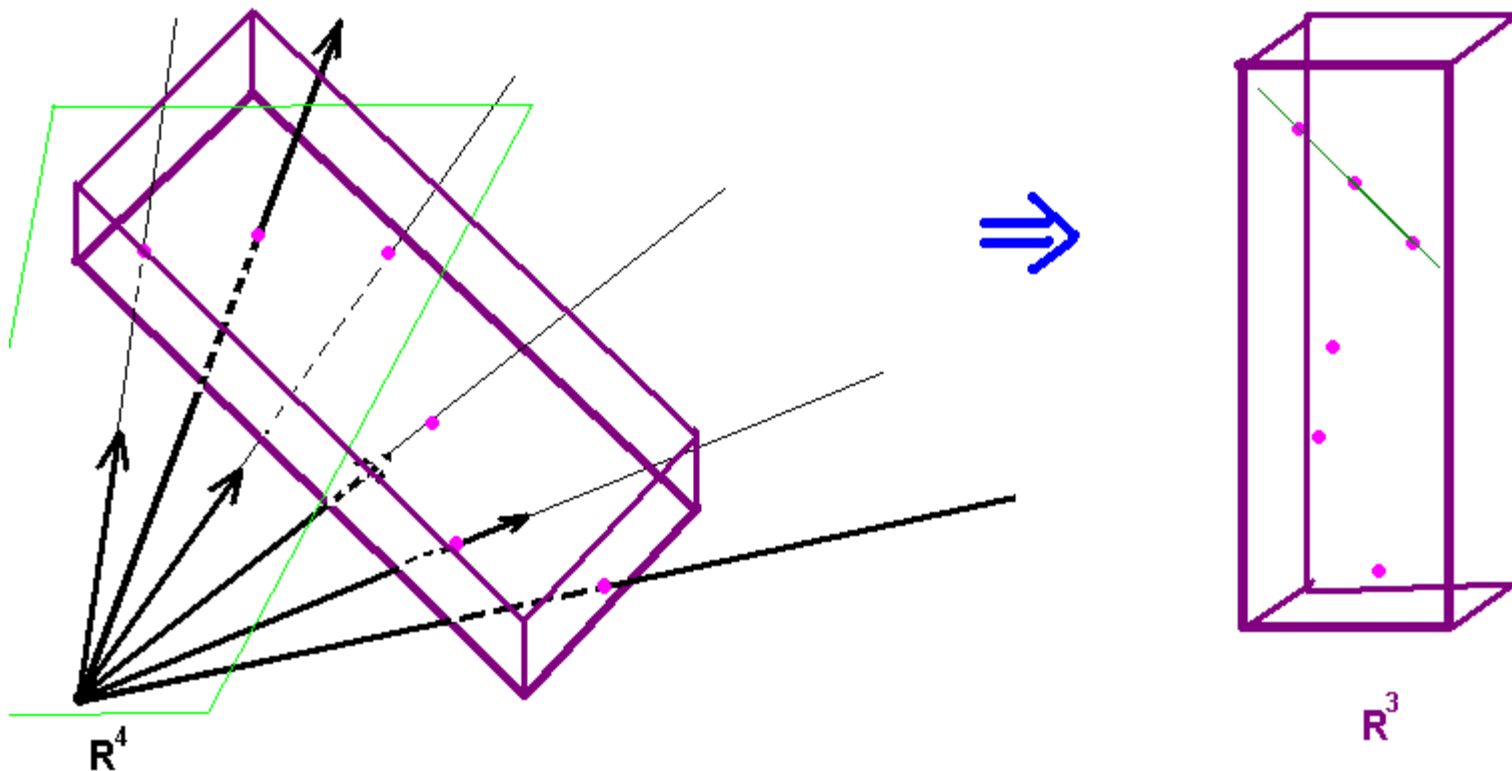
Recall:

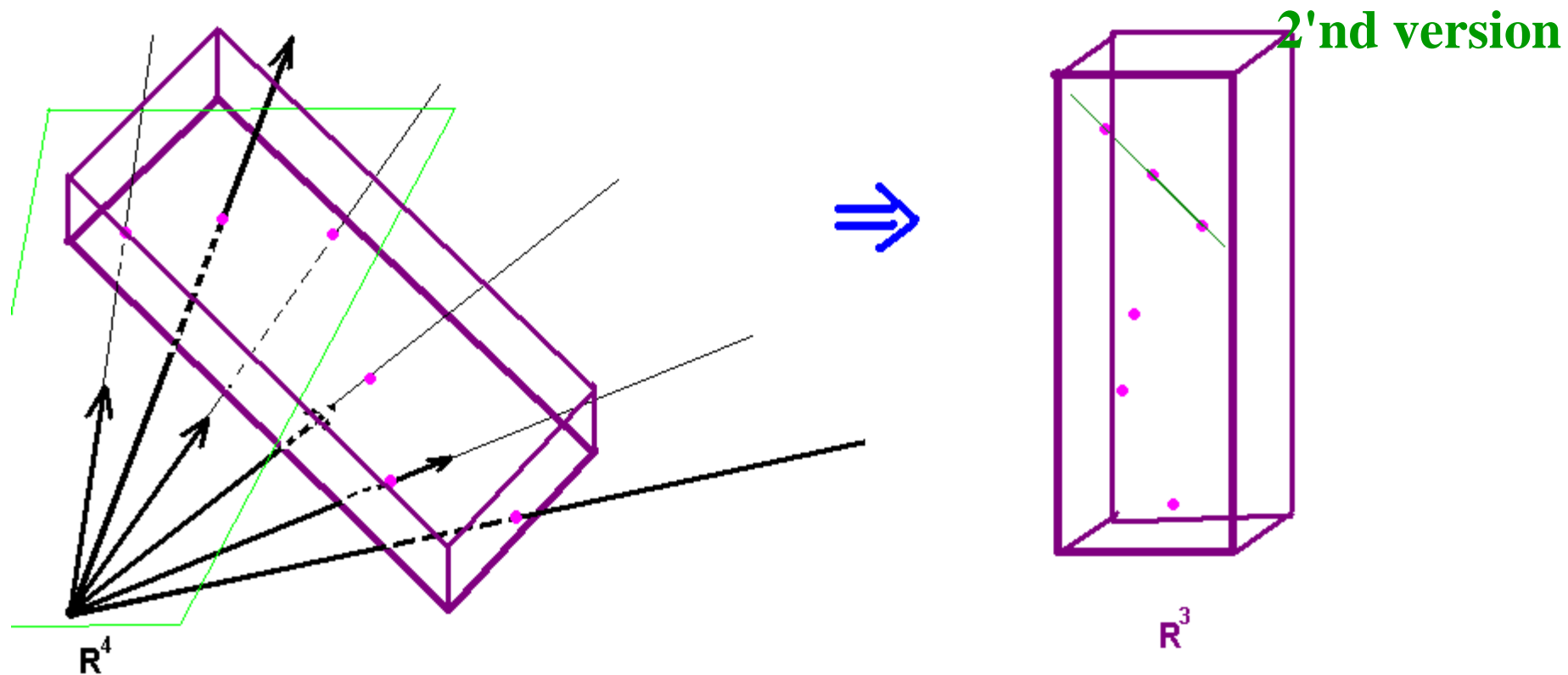
2'nd version

Problem 2 *What is $\min \text{simp}(\mathcal{V})$ and the **structure** of \mathcal{V} if $[\mathcal{V}] = \mathbb{R}^D$, $|\mathcal{V}| = m$ and no parallel vectors in \mathcal{V} ?*
($S_\ell \subseteq \mathcal{V}$ linear algebraic *simplexes*)

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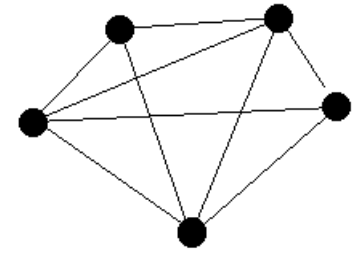
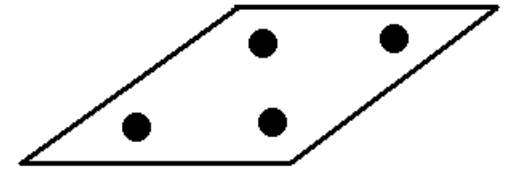
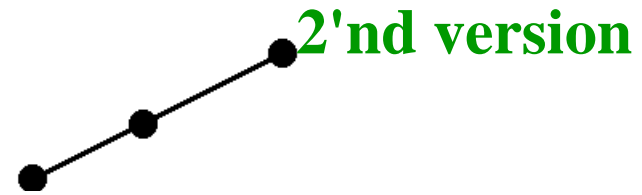


Alternatively: $S_a = \{s_1, \dots, s_k\}$ is an *affine* simplex \iff
 $S_\ell = \{\underline{s}_2 - \underline{s}_1, \underline{s}_3 - \underline{s}_1, \dots, \underline{s}_k - \underline{s}_1\}$ is a *linear algebraic* simplex
 (any $s_1 \in S_a$).

Definition: (affine) simplexes in \mathbf{R}^3 are

- i) 3 colinear points,
- ii) 4 coplanar, no three colinear,
- iii) 5 general points: no three or four as above

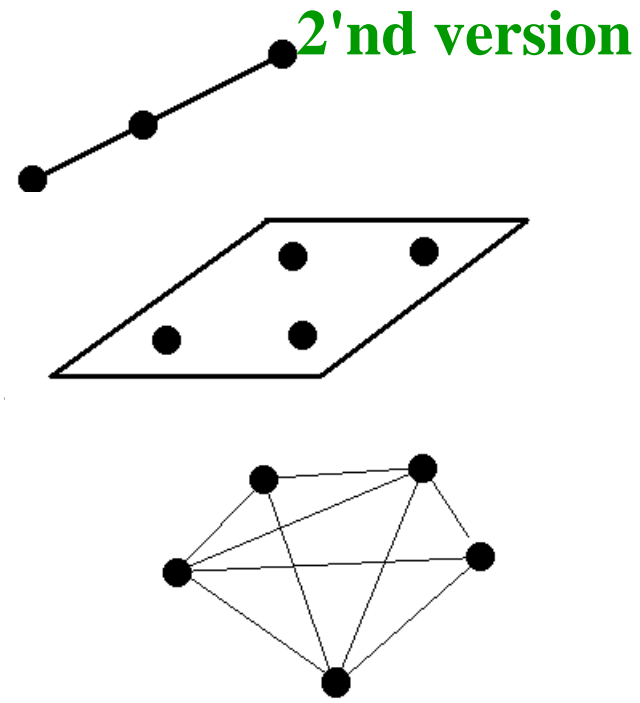
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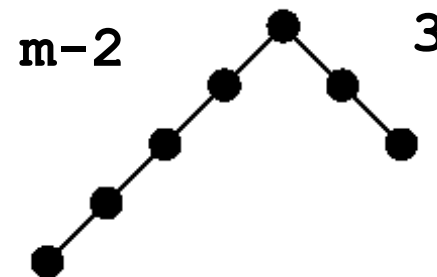
□



Definition: $S_a \subset \mathbb{R}^{D-1}$ is an affine simplex if $3 \leq |S_a|$,
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but no proper subset $S' \subsetneq S_a$ is *contained*
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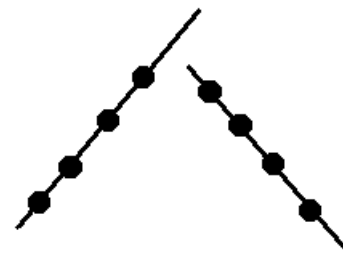
Theorem 3 [1998] (Laflamme-Szalkai) For $H \subset \mathbf{R}^3$

$$\binom{m-2}{3} + 1 + \binom{m-3}{2} \leq \text{simp}(\mathcal{H})$$



Theorem 4 [2010] (Balázs Szalkai - I.Szalkai) For $H \subset \mathbf{R}^4$

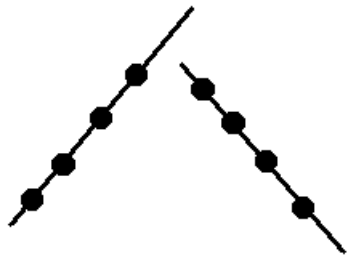
$$\binom{\lfloor m/2 \rfloor}{3} + \binom{\lceil m/2 \rceil}{3} \leq \text{simp}(\mathcal{H})$$



Mostly contain (affine) simplexes of three points.

General Conjecture (1998) (Laflamme, Meng, Szalkai)
no parallel \Rightarrow the only minimal configurations in \mathbb{R}^n are:

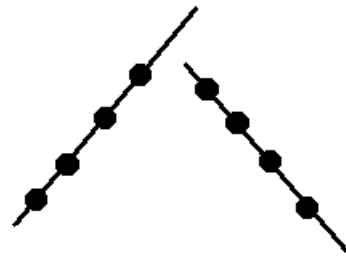
? **1)** *If n is even $\Rightarrow H$ contains n linearly independent vectors $\{\underline{u}_i : i = 1, \dots, n\}$ and the remaining of H is divided as evenly as possible between the planes $[\underline{u}_i, \underline{u}_{i+1}]$ for $i = 1, 3, \dots, n - 1$. \square*



$[\underline{u}_1, \underline{u}_2]$

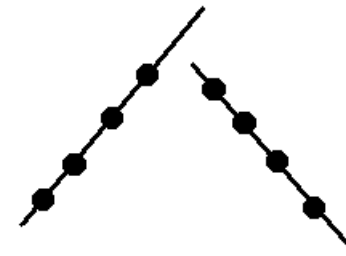
$[\underline{u}_3, \underline{u}_4]$

\dots



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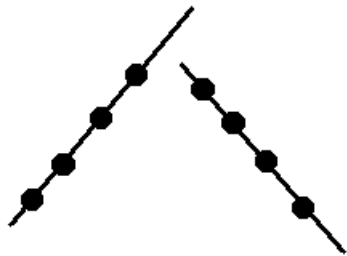
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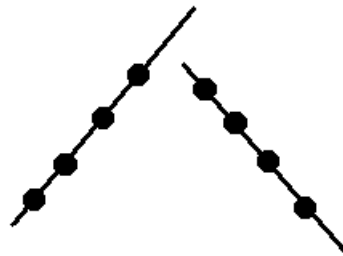
? 2) *If n is odd => H contains n linearly independent vectors $\{\underline{u}_i : i = 1, \dots, n\}$, one extra vector in the plane $[\underline{u}_{n-1}, \underline{u}_n]$ and finally the remaining vectors are divided as evenly as possible between the planes $[\underline{u}_i, \underline{u}_{i+1}]$ for $i = 1, 3, \dots, n - 2$ with lower indices having precedence. \square*



$[\underline{u}_1, \underline{u}_2]$

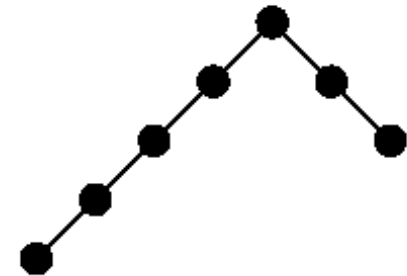
$[\underline{u}_3, \underline{u}_4]$

\dots



$[\underline{u}_i, \underline{u}_{i+1}]$

\dots



$[\underline{u}_{n-2}, \underline{u}_{n-1}], [\underline{u}_{n-1}, \underline{u}_n]$

New: no three points are collinear (or: $k \leq |S|$)

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$k = d := D-1$

Remark: Two kinds of subsets of \mathcal{H} form an affine simplex:
 $d + 1$ points on a hyperplane of dimension $d - 1$, or
 $d + 2$ points, no $d + 1$ of which lie on a common hyperplane
 of dimension $d - 1$.

Zs.Tuza, I.Szalkai (2014)

Theorem 3 $\forall d \geq 3 \exists c_d$ constant:

If $\mathcal{H} \subset \mathbb{R}^d$, $|\mathcal{H}| = n$ and

no d points from \mathcal{H} lie on a hyperplane
of dimension $d - 2$,

then $\binom{n}{d+1} - c_d \cdot n^d \leq \text{simp}_a(\mathcal{H})$.

Corollary 4 For $\mathcal{H} \subset \mathbb{R}^3$, $|\mathcal{H}| = n$,

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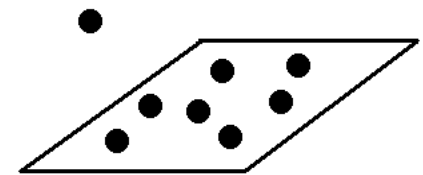
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(asymptotically tight)



Proposition 7 *There is an arrangement of n points in \mathbb{R}^3 , such that the number of affine simplexes determined by them is only*

$$\binom{n-1}{4} - \frac{(n-2)(n-5)}{2} \quad \text{if } n \text{ is even,}$$

$$\binom{n-1}{4} - \frac{(n-3)(n-5)}{2} \quad \text{if } n \text{ is odd;}$$

that is, $\frac{1}{24}n^4 - \frac{5}{12}n^3 + O(n^2)$.

Combinatorial formulation

Definition 5 A hypergraph $\mathcal{H} = (X, \mathcal{E})$ is *q-linear* ($q \geq 1$)

if $|E' \cap E''| < q$ for all $E', E'' \in \mathcal{E}$, $E' \neq E''$. □

E.g. in a 1-linear hypergraph any two edges are disjoint,
"2-linear" coincides with "linear" hypergraphs in the usual sense
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$\binom{H}{k} = \{ k \text{-element subsets of } H \}$,

$\mathcal{E}_k := \bigcup_{E \in \mathcal{E}} \binom{E}{k}$; (X, \mathcal{E}_k) is the **k -section hypergraph** of \mathcal{H} ,

$\mathcal{E}_{k+1}^0 := \{ F \in \binom{X}{k+1} \mid \binom{F}{k} \cap \mathcal{E}_k = \emptyset \}$.

members of $\mathcal{E}_k \cup \mathcal{E}_{k+1}^0$ are the $(k-1)$ -dimensional

semi-simplexes in \mathcal{H} ($k = d + 1$)

Zs.Tuza, I.Szalkai (2014)

Theorem 6 *For $k \geq 3$ there is a constant $c = c_k$ such that*

$$|\mathcal{E}_k| + |\mathcal{E}_{k+1}^0| \geq \binom{n}{k} - cn^{k-1}$$

for all $(k - 1)$ -linear hypergraphs $\mathcal{H} = (X, \mathcal{E})$, $|X| = n$. \square

This result implies Theorem 3.

Sperner families

For any $\mathcal{H} = (X, \mathcal{E})$ (not necessarily q -linear) and k

$\mathcal{S}_k(\mathcal{H}) := \mathcal{E}_k \cup \mathcal{E}_{k+1}^0$ is a **Sperner family**,

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Yamamoto [1954], **Bollobás** [1965], **Lubell** [1966],
Meshalkin [1963]

⇒ Hungarian architect **Ybl Miklós** (1814-1891)

https://en.wikipedia.org/wiki/Mikl%C3%B3s_Ybl

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$$\sum_{S \in \mathcal{S}} \binom{n}{|S|}^{-1} \leq 1$$

we let

$$s(n, k) := \min_{\mathcal{H} \text{ is } \underline{(k-1)\text{-linear}}, |X|=n} \sum_{S \in \mathcal{S}_k(\mathcal{H})} \binom{n}{|S|}^{-1}$$

$$s'(n, k) := \min_{\mathcal{H}=(X, \mathcal{E}), |X|=n} \sum_{S \in \mathcal{S}_k(\mathcal{H})} \binom{n}{|S|}^{-1}$$

Zs.Tuza (2014)

Theorem 8 *For every fixed $k \geq 2$, the limits*

$$s_k := \lim_{n \rightarrow \infty} s(n, k) \quad \text{and} \quad s'_k := \lim_{n \rightarrow \infty} s'(n, k)$$

exist and satisfy

$$0 < s'_k \leq s_k < 1$$

strict inequality at both ends.

Turán numbers

For fixed k -uniform hypergraph \mathcal{F}

$ex(n, \mathcal{F})$:= Turán number = the *maximum number of edges*
in a k -uniform hypergraph of order n
which does not contain any subhypergraph isomorphic to \mathcal{F} .

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which does not contain any subhypergraph isomorphic to \mathcal{F} .

$$\mathcal{K}_{k+1}^{(k)} := (X_k, \mathcal{E}_k) , \quad |X_k| = k + 1, |E| = k \text{ for } E \in \mathcal{E}$$

(=the complete k -uniform hypergraph of order k).

$$\text{E.g. } \mathcal{K}_3^{(2)} = K_3 , \quad ex(n, K_3) = \left\lfloor \frac{n^2}{4} \right\rfloor \text{ well known,}$$

$$\text{for } 2 < k \quad ex(n, \mathcal{K}_{k+1}^{(k)}) \quad \text{is open.}$$

Remark12 *If $\mathcal{H} = (X, \mathcal{E})$ is a k -uniform hypergraph of order n such that each $(k + 1)$ -tuple of vertices contains at least one edge of \mathcal{H} , then $\mathcal{E}_{k+1}^0 = \emptyset$.*

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In particular, taking \mathcal{H} as the complement of a hypergraph extremal for $ex(n, \mathcal{K}_{k+1}^{(k)})$, we obtain:

$$s'(n, k) \leq 1 - \frac{ex(n, \mathcal{K}_{k+1}^{(k)})}{\binom{n}{k}} \quad \text{and} \quad s'_k \leq 1 - \lim_{n \rightarrow \infty} \frac{ex(n, \mathcal{K}_{k+1}^{(k)})}{\binom{n}{k}} .$$

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Hence, any lower bound on the Turán density of $\mathcal{K}_{k+1}^{(k)}$ implies an upper bound on s'_k .

IX.

General
Hierarchy

On the Mathematical Foundation of Reaction Mechanisms

(manuscript in preparation, 130611)

Peter H.Sellers, ✠2014 , Árpád Pethő,Á. ✠2012

István Szalkai

Definitions

A chemical (stoichiometric) system is made up of an infinite hierarchy of *disjoint finite sets*:

Definition 2 We introduce the (arbitrary) nonempty disjoint finite sets \mathcal{A}_x for $x = 0, 1, \dots \in \mathbb{N}$ as ($\mathcal{A}, \mathcal{M}, \mathcal{E}, \mathcal{C}$ are special notations for $\mathcal{A}_0, \dots, \mathcal{A}_3$):

o) $\mathcal{A} := \mathcal{A}_0 = \{A_1, \dots, A_a\}$ called **atoms**,

i) $\mathcal{M} := \mathcal{A}_1 = \{M_1, \dots, M_m\}$ called **molecules or species**,

ii) $\mathcal{E} := \mathcal{A}_2 = \{E_1, \dots, E_e\}$ called **elementary mechanistic steps or reactions**,

iii) $\mathcal{C} := \mathcal{A}_3 = \{C_1, \dots, C_c\}$ called **(elementary) mechanisms or catalizatinos**,

...

x) $\mathcal{A}_x = \left\{ A_1^{(x)}, \dots, A_{d(x)}^{(x)} \right\}$ called **the x -th level of hierarchy**, \square

Definition 3 We define the **algebras** $\mathcal{L}_x := (L_x, +, \cdot)$ for $x = 0, 1, \dots \in \mathbb{N}$ as the ground sets

$$L_x := \left\{ \sum_{j=1}^{d(x)} \alpha_j \cdot A_j^{(x)} : \alpha_j \in \mathbb{Z} \right\} , \quad (3)$$

abbreviating $\sum_{j=1}^{d(x)} \alpha_j \cdot A_j^{(x)}$ as $[\alpha_1, \dots, \alpha_{d(x)}]$, equipped with the usual operations

$$[\alpha_1, \dots, \alpha_{d(x)}] + [\beta_1, \dots, \beta_{d(x)}] := [\alpha_1 + \beta_1, \dots, \alpha_{d(x)} + \beta_{d(x)}] \quad (4)$$

and

$$\lambda \cdot [\alpha_1, \dots, \alpha_{d(x)}] := [\lambda \cdot \alpha_1, \dots, \lambda \cdot \alpha_{d(x)}] \quad \text{for } \lambda \in \mathbb{Z} . \quad (5)$$

Clearly the **bases** of \mathcal{L}_x are the sets \mathcal{A}_x . \square

$$\Delta_1 (M_j) = \sum_{k=1}^a \alpha_{j,k} \cdot A_k , \quad \Delta_2 (E_i) = \sum_{j=1}^m \mu_{i,j} \cdot M_j \quad (1 \leq i \leq e) \quad (6)$$

as

$$\sum_{j=1}^m \mu_{i,j} \cdot \alpha_{j,k} = 0 \quad \text{for } 1 \leq i \leq e , 1 \leq k \leq a . \quad (7)$$

Using matrices (7) can be written as

$$[\mu_{i,j}]_{e,m} \cdot [\alpha_{j,k}]_{m,a} = [0]_{e,a} , \quad (8)$$

or in the language of the linear mappings

$$\boxed{\Delta_1 \circ \Delta_2 = O \quad \text{i.e.} \quad \text{Im} (\Delta_2) \subseteq \text{Ker} (\Delta_1)} \quad (9)$$

where, of course

$$\Delta_2 : \mathcal{L}_2 \rightarrow \mathcal{L}_1 \quad \text{and} \quad \Delta_1 : \mathcal{L}_1 \rightarrow \mathcal{L}_0 . \quad (10)$$

($[\mu_{i,j}]_{e,m}$ is called *stoichiometric* while $[\alpha_{j,k}]_{m,a}$ is the *composition matrix*.)

in general:

Definition 4 For $x \in \mathbb{N}$, $x \neq 0$ we call the linear mappings

$$\Delta_x : \mathcal{L}_x \rightarrow \mathcal{L}_{x-1} \quad (11)$$

stoichiometric connections between \mathcal{L}_x and \mathcal{L}_{x-1} if

$$\Delta_x \circ \Delta_{x+1} = O \quad \text{for } x = 1, 2, \dots \quad (12)$$

where $O = O_x : \mathcal{L}_{x+1} \rightarrow \mathcal{L}_{x-1}$ is the null-mapping. \square

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Remark 5 The requirement (12) can be written equivalently as

$$\text{Im}(\Delta_{x+1}) \subseteq \text{Ker}(\Delta_x) \quad \text{for } x = 1, 2, \dots \quad (13)$$

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Definition 6 We call a system of algebras and mappings

$$\mathcal{H} = (\mathcal{L}_x, \Delta_{x+1} : x \in \mathbb{N}) \quad (14)$$

(stoichiometric) hierarchy, if it satisfies Definitions 2 through 4. \square

Properties

For $\underline{v} = \sum_{j=1}^{d(x)} \alpha_j \cdot A_j^{(x)} \in L_x$ ($0 < x$), $\underline{v} \in \text{Ker}(\Delta_x)$ we know that

$$\begin{aligned}\Delta_x(\underline{v}) &= \sum_{j=1}^{d(x)} \alpha_j \cdot \Delta_x(A_j^{(x)}) = \sum_{j=1}^{d(x)} \alpha_j \cdot \left(\sum_{i=1}^{d(x-1)} \beta_i^{(j)} \cdot A_i^{(x-1)} \right) \\ &= \sum_{i=1}^{d(x-1)} \left(\sum_{j=1}^{d(x)} \alpha_j \beta_i^{(j)} \right) \cdot A_i^{(x-1)} = \underline{0}\end{aligned}$$

which includes $\sum_{j=1}^{d(x)} \alpha_j \beta_i^{(j)} = 0$ for $i \leq d(x-1)$

since $\left\{ A_1^{(x)}, \dots, A_{d(x)}^{(x)} \right\}$ was assumed to be a base.

above implies

$$\boxed{\text{Im}(\Delta_2) = \text{the set of all balanced reactions.}} \quad (16)$$

$$\boxed{\text{Ker}(\Delta_2) = \text{the set of all cycle-mechanisms.}} \quad (17)$$

In general

Definition 7 For $x > 0$ the elements of

$\text{Ker}(\Delta_x)$ are called (generalized) *cycle-mechanisms*

$\text{Im}(\Delta_x)$ are called *balanced mechanisms*. \square

Clearly, by (13) each balanced mechanisms must be cycles.

We did not prescribe $Ker(\Delta_x) = \emptyset$, so we may use

Definition 8 For $x > 0$ we call the vectors $\underline{w}_1, \underline{w}_2 \in L_x$ to be *equivalent modulo $Ker(\Delta_x)$* if and only if

$$\underline{w}_2 - \underline{w}_1 \in Ker(\Delta_x) . \quad (18)$$

We shorten

$$\underline{w}_1 \overset{\leftrightarrow}{\sim} \underline{w}_2 . \quad \square \quad (19)$$

Clearly

$$\underline{w}_2 = \underline{w}_1 + \underline{y} \quad \text{for some } \underline{y} \in Ker(\Delta_x) . \quad (20)$$

It is well known, that $\overset{\leftrightarrow}{\sim}$ is an *equivalence relation* and

$$\boxed{L_x / \overset{\leftrightarrow}{\sim} \cong \text{Im}(\Delta_x) .} \quad (21)$$

Dual mappings $\Delta_x^* : \mathcal{L}_{x-1}^* \rightarrow \mathcal{L}_x^* \quad (1 \leq x).$

mathematical definition

Definition 9 Let V and W be any linear spaces, usually $\Gamma = \mathbb{R}$.

(i) The **dual space** V^* is the set of linear mappings (functions) $f : V \rightarrow \Gamma$. The addition and scalar multiplication for $f_1, f_2, f \in V^*$ and $\lambda \in \Gamma$

$$\begin{aligned}(f_1 \oplus f_2)(v) &: = f_1(v) + f_2(v) \\ (\lambda \odot f)(v) &: = \lambda \cdot f(v) \quad (v \in V, \lambda \in \Gamma).\end{aligned}\tag{25}$$

(ii) For any linear mapping $\mathcal{M} : V \rightarrow W$, the **dual mapping**

$$\mathcal{M}^* : W^* \rightarrow V^*, \quad g \longmapsto f\tag{26}$$

The elements of V^* are called also functionals or valuations.

Dual mappings $\Delta_x^* : \mathcal{L}_{x-1}^* \rightarrow \mathcal{L}_x^* \quad (1 \leq x).$

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Definition 10 The dual mappings $\Delta_x^* : \mathcal{L}_{x-1}^* \rightarrow \mathcal{L}_x^* \quad (1 \leq x)$ are called **dual stoichiometric connections**. □

(the matrices of Δ_x^* are the **transposes** of the matrices of Δ_x .) 162

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27 Jan. 2003

Hungary

Dear Istvan,

Thank you for the e-mail. Let me
respond to the comments you have made, based
on the POSTSCRIPT in my letter of 11 April 2002.
I am thinking of comments 1, 2 and 3,
in particular.

(1.) I agree with your suggestion that
we focus on the mathematics, i.e. the
properties of 3 vector spaces

$$\mathcal{E} \xrightarrow{\Delta_2} \mathcal{M} \xrightarrow{\Delta_1} \mathcal{A}$$

joined by linear transformations such that

$\Delta_1(\Delta_2(E)) = 0$ for all $E \in \mathcal{E}$. Let us

X.

Valuation Operator

Definition 6.5.

(i) call the elements of an arbitrary set $\{C_1, \dots, C_n\}$ components, the linear combination $\underline{S} = \sum_{i=1}^n s_i \cdot C_i$ ($s_i \in \mathbb{R}$) (chemical) structures,

$V := \{\sum_{i=1}^n s_i \cdot C_i : s_i \in \mathbb{R}\}$ are sets of masses.

(ii) Any linear functional $\mathcal{L} : V \rightarrow \mathbb{R}$ is called evaluating operator. \square

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Theorem 6.6. All the evaluating operators on V have the form

$$\mathcal{L}(\underline{S}) = \sum_{i=1}^n a_i \cdot s_i$$

where the coefficient vector $\underline{a} = [a_1, \dots, a_n]^T \in \mathbb{R}^n$ is uniquely determined by $\mathcal{L} : a_i = \mathcal{L}(C_i)$. \square

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Immediately we get

Theorem 6.7. (Hess' law) If the reactions X_1, \dots, X_k result the zero mechanism $\underline{\mathcal{O}}$, then the sum of the heats $\mathcal{H}(X_1), \dots, \mathcal{H}(X_k)$ is 0. \square

The fact $V^* \cong V$ implies

Theorem 77 (PhD 6.8.T.) *If V is built up from n components, then there are at most n linearly independent evaluating operators $\mathcal{L}_1, \dots, \mathcal{L}_n$, so all each other evaluating operator \mathcal{L} can be expressed as $\mathcal{L} = \alpha_1 \mathcal{L}_1 + \dots + \alpha_n \mathcal{L}_n$. \square*

Cauchy-Bunyakowsky-Schwarz's inequality:

Theorem 78 (PhD 6.9.T.) *For any V and $\mathcal{L} : V \rightarrow \mathbb{R}$ there is a constant $c \in \mathbb{R}^+$ such that*

$$| \mathcal{L}(\underline{S}) | \leq c \cdot \| \underline{S} \| \quad \text{for } \underline{S} \in V ,$$

where $\| \underline{S} \| = \sqrt{s_1^2 + \dots + s_n^2}$, $c = \sqrt{a_1^2 + \dots + a_n^2}$ \square

Theorem 6.10. *If V_1 and V_2 are generated by $\mathcal{C} = \{C_1, \dots, C_n\}$ and $\mathcal{D} = \{D_1, \dots, D_m\}$ resp, $\mathcal{C} \cap \mathcal{D} = \emptyset$ and $V = V_1 \oplus V_2$, then V has evaluating operators only:*

$$\mathcal{L} = \mathcal{L}|_{V_1} \oplus \mathcal{L}|_{V_2}$$

$$\mathcal{L}(\underline{S}) = \sum_{i=1}^n a_i s_i + \sum_{j=1}^m b_j t_j \quad \text{for} \quad \underline{S} = \sum_{i=1}^n s_i C_i + \sum_{j=1}^m t_j D_j.$$

Theorem 6.11. *For any two scalar products $\mathcal{A}, \mathcal{B} : V \times V \rightarrow \mathbb{R}$ there is an continuous automorphism $\mathcal{I} : V \rightarrow V$ such that*

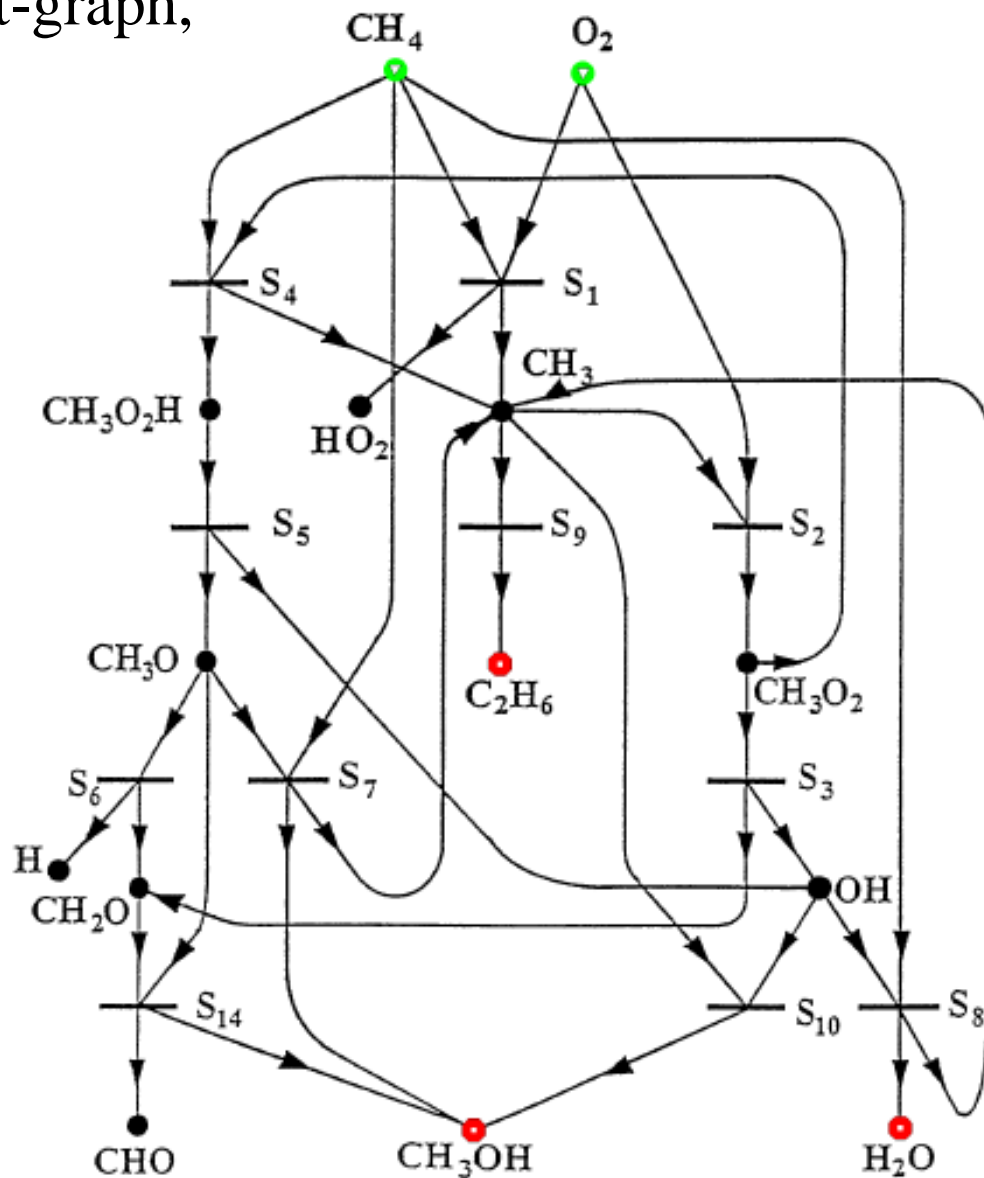
$$\mathcal{A}(\underline{u}, \underline{v}) = \mathcal{B}(\mathcal{I}(\underline{u}), \mathcal{I}(\underline{v})) \quad (\underline{u}, \underline{v} \in V). \quad \square$$

Roughly speaking this means, that all the evaluating operators of a mass-set differ from a scalar multiplier only.

XI.

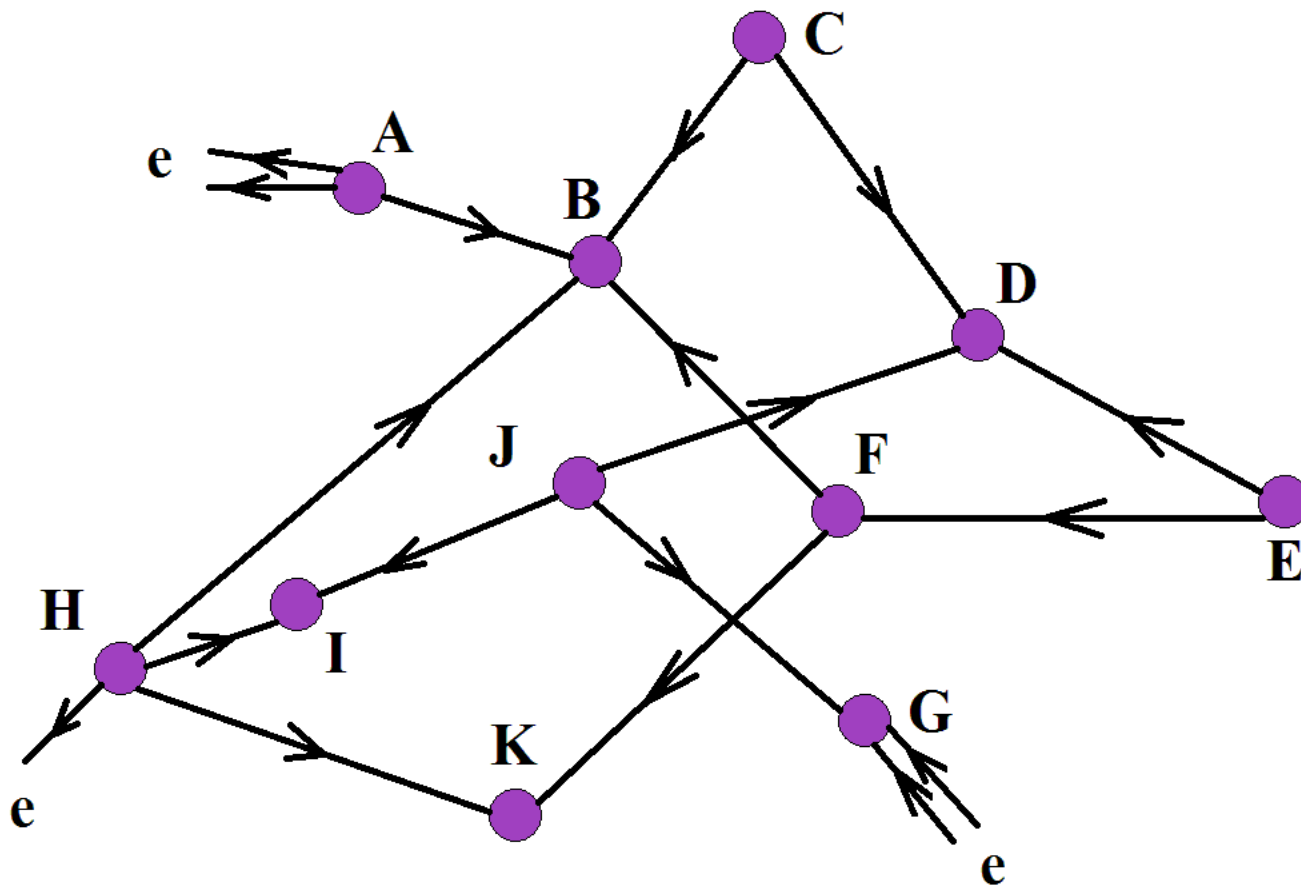
Graphs

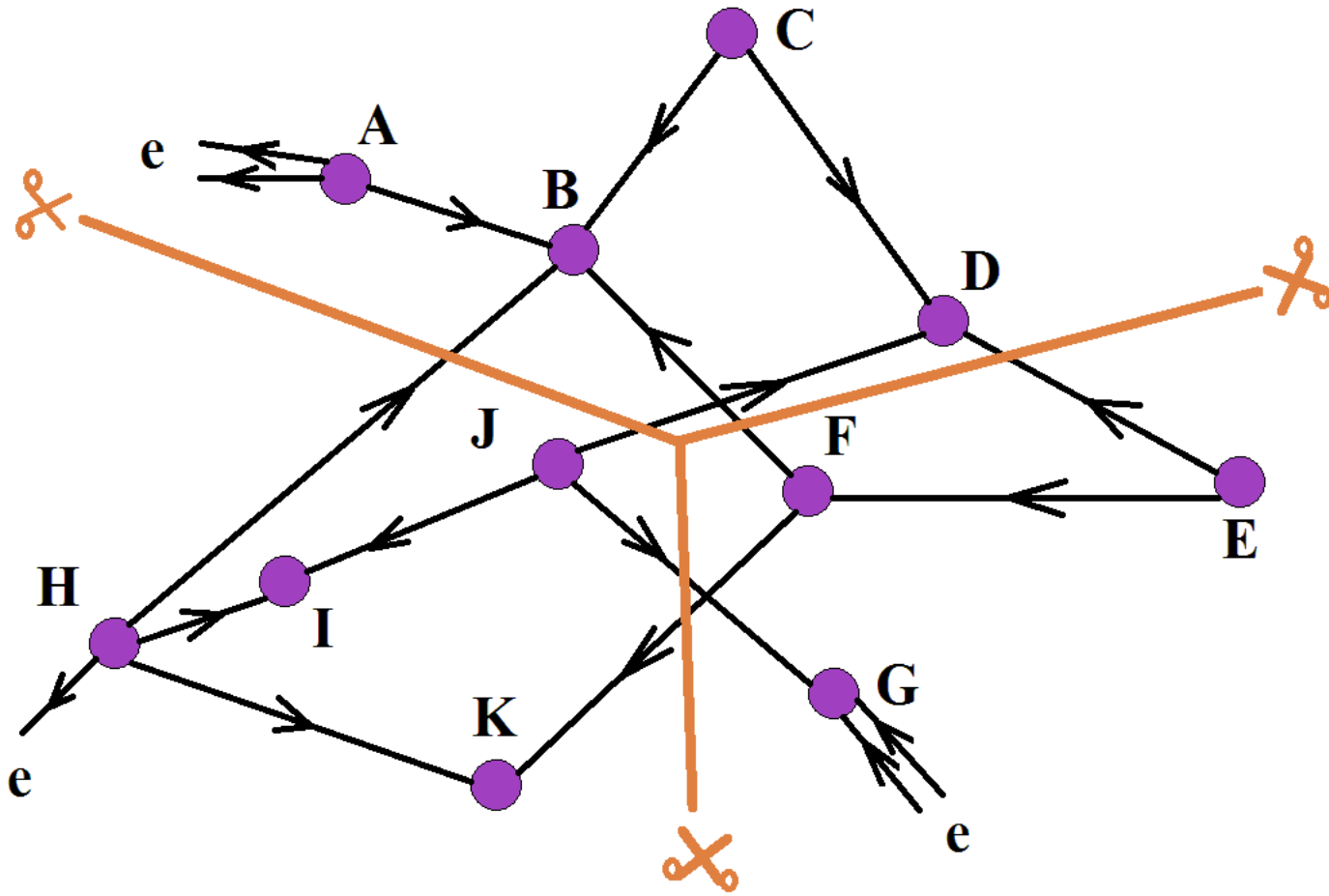
Petri-graph (P-graph), Volpert-graph,
Feinberg–Horn–Jackson-graph

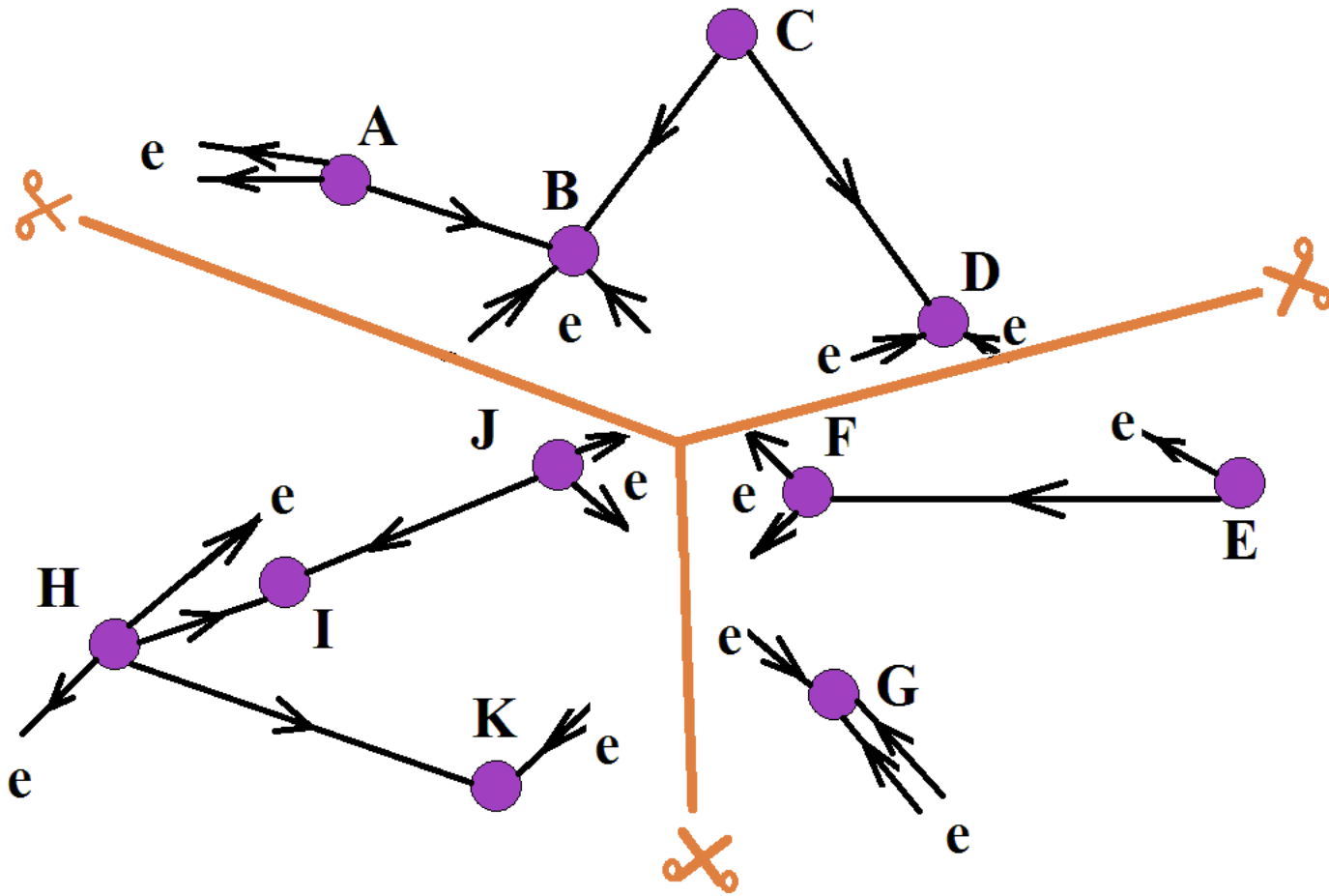


Egy P-gráf ([B99])

Dealing with the *chemical structure* (an idea) :







	A	B	C	D	E	F	G	H	I	J	K	e
A	*	+1										+2
B	-1	*	-1			-1		-1				
C		+1	*	+1								
D			-1	*	-1					-1		
E				+1	*	+1						
F		+1			-1	*				+1		
G							*			-1		-2
H		+1						*	+1		+1	+1
I								-1	*	-1		
J				+1			+1		+1	*		
K						-1		-1			*	
e	-2						+2	-1				*

	A	B	C	D	E	F	G	H	I	J	K	e
A	*	+1										+2
B	-1	*	-1									-2
C		+1	*	+1								
D			-1	*								-2
E					*	+1						+1
F					-1	*						+2
G							*					-3
H								*	+1		+1	+2
I								-1	*	-1		
J									+1	*		+2
K								-1			*	-1
e	-2	+2		-2	-1	-2	+3	-2		-2	+1	*

	A	B	C	D	E	F	G	H	I	J	K	e								
A	*	+1			M^(1,2)			M^(1,3)				+2	<u>e⁽¹⁾</u>							
B	-1	*	-1																	-2
C		+1	*	+1																
D			-1	*																-2
E	M^(2,1)				*	+1		M^(2,3)				+1	<u>e⁽²⁾</u>							
F					-1	*													+2	
G							*												-3	
H	M^(3,1)				M^(3,2)			*	+1		+1		+2	<u>e⁽³⁾</u>						
I								-1	*	-1										
J									+1	*								+2		
K															-1		*		-1	
e	-2	+2		-2	-1	-2	+3	-2		-2	+1	*								

cut

szétvágás:

$$e_j^{(i) \text{ ÚJ}} = e_j^{(i) \text{ RÉGI}} + \sum_{k \neq i} \sum_{\ell} M^{(i,j)}[j, \ell]$$

összeillesztés: $M^{(i,j)} = ?$

glue

Many thanks to

You